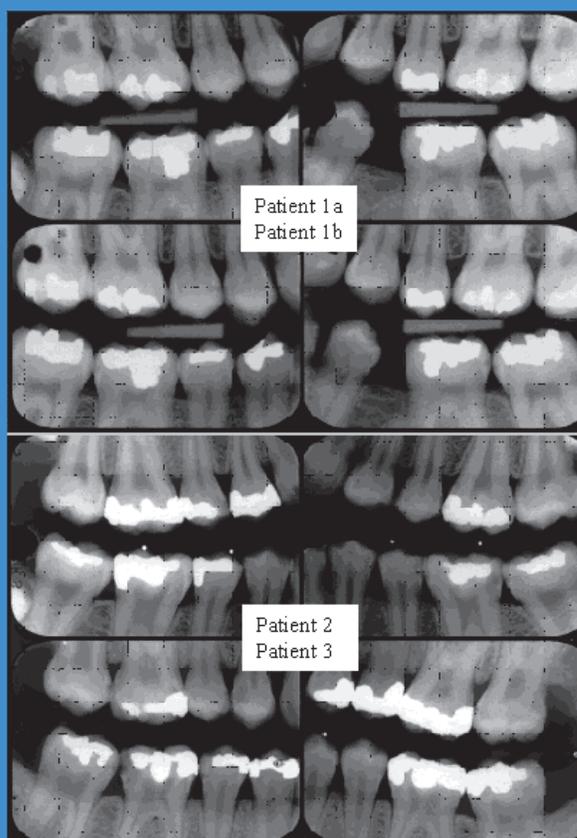


Swedish Dental Journal

Scientific Journal of The Swedish Dental Association



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No.

1/06

Vol. 30

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Swedish Dental Journal

Scientific journal
of the Swedish Dental Association
and the Swedish Dental Society
ISSN: 0347-9994

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Edita Västra Aros AB

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Introduction

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A retrospective long term study of teeth restored with prefabricated carbon fiber reinforced epoxy resin posts

SUSANNA SEGERSTRÖM¹, JOHNNY ASTBÄCK¹, AND KARL EKSTRAND¹

Abstract

© The Composipost® endodontic post, made of stretched aligned carbon fibers embedded in an epoxy-resin matrix, has since the beginning of the nineties been widely used for the restoration of endodontically treated teeth.

The aim of this retrospective study was to evaluate the treatment outcome of the Composipost system up to 7 years.

In a study published 1998, 236 patients treated during 1992–93 by seven Swedish general dental private practitioners were studied. Five of the former seven private practitioners consented to participate in this follow up of that study. Thus the material was reduced to 138 patients. Thirty-nine of these were excluded due to insufficient data. For the remaining 99 patients, data were collected from dental records. All patients were offered a clinical examination but only 25 accepted. Data were collected from dental records for the remaining 74 patients. The mean follow up time was 6.7 years with a range from 1 month to 10 years (median 7.6 years, SD 2.5 years), (five teeth were extracted during the previous study). The outcome was considered successful if the post and core was in situ and showed no clinical or radiographic signs of technical failures.

Sixty-four teeth (65%) restored with the Composipost system were successful after a mean time of 6.7 years. Thirty-two teeth were extracted due to fractures, periapical lesions and periodontitis. Dislodgment of post was observed in three cases.

In conclusion, within the limitations of this study, after a mean time of 6.7 years, the Composipost restored teeth had shorter survival times than those of previously documented cast posts.

Key words

Cementation, composite resins, failure, post-and-core technique

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En retrospectiv långtidsstudie av tänder restaurerade med prefabricerade kolfiberförstärkta epoxystift

SUSANNA SEGERSTRÖM, JOHNNY ASTBÄCK OCH KARL EKSTRAND

Sammanfattning

⊙ En retrospectiv långtidsstudie av tänder restaurerade med prefabricerade kolfiberförstärkta epoxy stift. Kolfiberförstärkta stift (Composipost®) tillverkade i kolfiber inbäddade i epoxy resin matrix har sedan början på 1990 talet använts för att restaurera rotfyllda tänder. Målsättningen med denna undersökning var att uvärdera behandlingsresultatet efter en uppföljningstid på cirka 7 år.

I en studie som publicerades 1998 hade 236 patienter behandlats med Composipost® stift mellan åren 1992-1993 av sju svenska privatpraktiserande tandläkare. Av dessa sju kunde fem delta i en ny utvidgad uppföljning. Materialet var emellertid reducerat till 138 patienter. Ytterligare trettionio patienter exkluderades pga otillräckliga journal- och statusuppgifter. För de resterande 99 patienterna samlades data in från journalanteckningar. Alla patienter erbjöds en klinisk undersökning med radiologisk dokumentation men endast 25 accepterade erbjudandet. För de resterande 74 patienterna samlades resultat in via journal- och daganteckningar. Den genomsnittliga uppföljningstiden var 6,7 år med en spridning från 1 månad till 10 år. För att erhålla ett lyckat resultat måste Composipost® stiftet sitta kvar i tanden och inte uppvisa några kliniska eller radiologiska defekter.

Sextiofyra tänder (65%) restaurerade med Composipost® var fortfarande i funktion efter en medeluppföljningstid på 6,7 år. Trettio två tänder hade extraherats pga olika orsaker som frakturer, periapikala läsioner och parodontit. Lossnande stift kunde observeras i tre fall.

Av resultaten framgår, med reservation för materialets storlek och bortfall av antalet patienter, att efter en uppföljningstid på nästan 7 år, uppvisar Composipost®försedda tänder sämre lyckandefrekvens jämfört med konventionellt framställda stift av metall.

Introduction

In case of extensive loss of tooth structure a restoration of the tooth with a complete crown for rehabilitation is needed. Posts-and-cores are not necessary when a minimal loss of tooth structure exists (30). If a large portion of the clinical crown has been lost it is often impossible to achieve sufficient anchorage for a conventionally cemented restoration in the remaining dentin and a root-canal-retained restoration is required. This may be the case when horizontal loss of the clinical crown has occurred and little ferrule can be created in the remaining tooth structure (34).

Posts-and-cores have been proposed for the stabilization of weakened endodontically treated teeth. There are conflicting reports on the ability of metal posts to reinforce endodontically treated teeth (17). Several studies determine that intracoronal reinforcement does not strengthen the tooth nor does it increase the resistance to fracture (1, 35). Teeth without post-and-core foundations test stronger when compressive load is applied compared to teeth with post-and-core (33). Preserving tooth structure is one of the most important factors in avoiding technical complications with intracorally reinforced teeth (27, 28, 36). There is little difference between the wide range of post designs and systems when a complete crown restoration is performed since fracture resistance may be more dependent on the amount of remaining dentin ferruled by the crown restoration (24, 34).

Alternatives to cast posts and cores have been developed. The use of prefabricated posts and custom-made build-ups has become increasingly popular (29). Composipost®, a non-metallic post system, was introduced in 1990 for fabrication of dental posts (7). This system allows more dentin of the tooth to be preserved. It is based on the carbon-fiber reinforcement principle. The cylindrical Composipost® is made of 64% equally stretched and aligned carbon-fibers (8 µm in diameter), solidly attached to a special matrix of epoxy-resin (36%). The carbon fibers impart high strength to the posts. (Composipost®, Recherches Techniques Dentaires, RTD, Meylan, France).

Composiposts are passive and designed to be used with a bonding technique. Endodontic drills of matching diameter, resin luting cement and resin composite core material complement the system. The recommended core material is Resilient composite, a Bis-GMA resin filled with short glass fibers (RTD, Meylan, France).

The fabrication of the carbon fiber reinforced epoxy resin post is, according to the manufacturer, less expensive and time-consuming than fabrication of conventional post-and cores (7). In Sweden, between 20-25% of general practitioners use the Composipost system and 68% use composite materials for core build-up (8).

Epoxy-resin materials degrade when in contact with water (9). The Composipost® is a combined material of hydrophobic fibers and an epoxy resin matrix that absorbs water and it is evident that mechanical properties change when in an aqueous environment (5). The flexural values of the Composipost® decrease significantly after water storage and after thermocycling (5, 37). The effect of thermal cycling makes the carbon post more susceptible to fracture and unable to withstand additional load cycling. The breakdown is possibly related to degradation of the polymer holding the fibers together (6). A change of the mechanical properties may have an impact on the function time of the post.

Fiber-reinforced polymeric composites are also susceptible to microbial degradation, since fungi can utilize the resins or fiber chemical sizing as carbon and energy sources (16). This might also affect the longterm outcome of the post restored tooth.

Conventional cast post-and-core have a failure rate of 1.6% per year after 6 years (2). Parallel and tapered cast posts evaluated after 4-5 years have a 92% success rate for the parallel and 85% for the tapered posts (38). A retrospective study indicates that the Composipost® system is superior to the conventional cast post and core system after 4 years of clinical service, with success rates of 95% versus 84% respectively (11). Another study evaluates three different fiber posts after clinical service ranging from 1-6 years and it is concluded that the fiber posts in combination with bonding/luting materials can be routinely used (12). Such success rates and recommendations are; however, contradicted(23,33) in a prospective trial where more failures are seen in the carbon fiber reinforced group than among the prefabricated conventional posts (23).

The mode of failure with a fiber reinforced post is described to be more favourable and with lower failure rates than with metallic posts (21, 22). This is contradicted by others claiming that the use of carbon fiber reinforced composite posts does not change the fracture resistance nor the failure mode when compared to the use of metallic posts (32).

The purpose of this study was to conduct a retro-

spective clinical and radiographic evaluation of the Composipost® post-and-core up to seven years in service. The hypothesis was that the survival time for the conventional cast post is longer than that of the carbon fiber-reinforced post.

Material and Methods

The material and methods of this study is based on a clinical retrospective study by Fredriksson et al (13). Seven dentists were randomly selected to contribute data from patients treated with the Composipost system until July 1993. A total of 236 patients were selected for evaluation. The list of participating private practitioners from this study was kindly given to us by the authors. The seven practitioners were contacted. Initially all of them accepted to participate in this follow up study. In two cases the dental clinics had new owners. A few weeks later a confirmation letter along with further information and patient instruction was sent. In one case the dentist had changed his mind and did no longer want to participate (90 patients). In the other case, the list of patients could not match the files from the original study (8 patients). The patients of these two clinics were therefore excluded. Five of the former seven dentists were thereby willing to take part in this follow up study. The patients were introduced to this study by a letter, approved by the Uppsala university ethical committee (Dnr 01-479) and asked to participate in a clinical and radiographic examination. The practical arrangements were administered by each dentist.

The number of available patients was 138. Thirty-nine patients were excluded due to insufficient data. The age of the 99 remaining patients ranged from 36 to 90 years (mean 62 years). Of these 99 patients (38 men and 61 women) 25 patients agreed to participate in a clinical and radiographic examination. Each one of the 99 patients contributed with one Composipost® restored tooth each in the study. As the patients had previously been included in an individual recall program, data could be obtained from records for the remaining 74 patients who were unable or unwilling to participate in the clinical follow up.

The clinical examinations and the collection of data from dental records were carried out independently by two calibrated observers.

The posts were luted with the chemically cured composite resin cement recommended by the manufacturer. The core build up material was also used according to the manufacturers recommendation. The luting cement used for the crowns was in most

cases zinc phosphate cement, but was not always registered in the files.

Periodontal conditions were assessed by recording the plaque index (*Silness & Loe*), the gingival index (*Loe & Silness*), the bleeding index (*Lenox & Koczyk*) and measuring 4 point pocket depth. Dental examination also included diagnosing whether caries was present or not. An intraoral radiograph was taken of each Composipost treated tooth and the contra-lateral. In all cases the contra-lateral tooth was considered as a control tooth.

The collected data from the dental records were based on the latest appointment when visiting the dentist. In 93% of the cases radiographs were available and investigated.

The outcome was considered successful if the post-and-core was in situ and showed no clinical or radiographic signs of technical failures, loss of retention, root fracture or post fracture.

Results

The results of the measurements were analysed by t-tests with a significance level < 0.05.

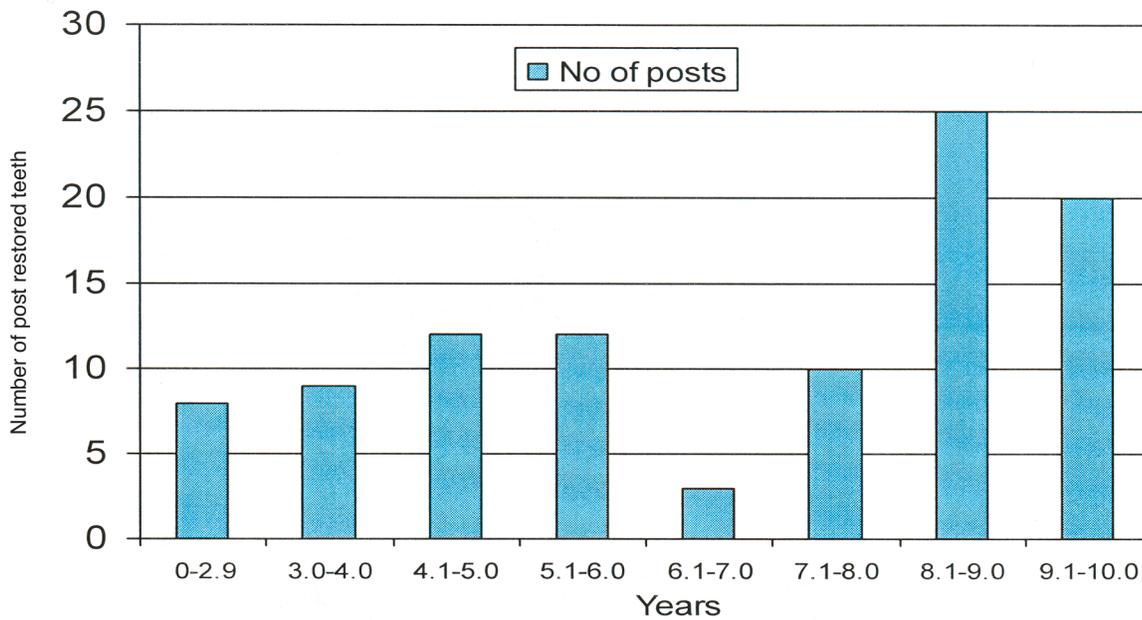
The frequency of types of teeth treated is shown in Table 1.

Table 1 Distribution of position of 99 Composipost® treated teeth. The number of teeth is given.

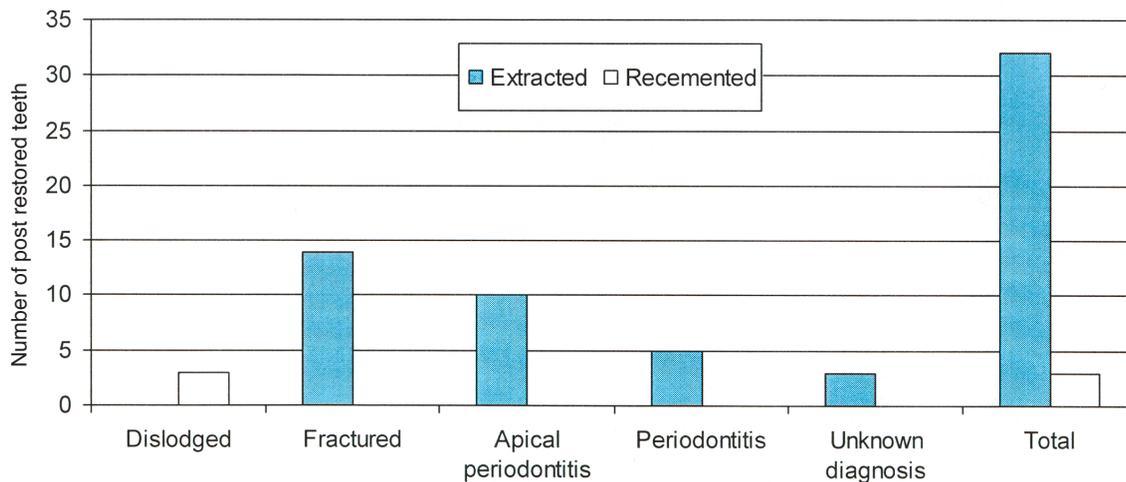
	Central		Canines	Premolars	Molar	Total
	Incisors	Incisors				
Maxillae	7	7	5	23	12	54
Mandible	1	0	4	21	19	45

The duration of service of the Composipost® restored teeth varied from 1 month to 10 years (Fig 1). The mean service time was 6.7 years (median 7.6 years, SD 2.5 years). Only twenty-five patients could be clinically examined. These patients had the longest follow up times (8.4 years). The vast majority of collected data came from dental records (74 patients). The latest information regarding the Composipost restored tooth was from a prior date, consequently the follow up times for these patients were shorter (6.1 years).

The clinical examination was performed on 25 teeth. The condition of soft tissues surrounding the post restored and contra-lateral teeth was similar. Plaque Index, Gingival Index and Bleeding index did not differ between the teeth restored with Composipost and the control teeth. Fifty percent of the



© Figure 1. Distribution of Compositopost® restored teeth in relation to years of service



© Figure 2. Reasons for failures of Compositopost® treated teeth. The number of teeth is given.

sites (surfaces) exhibited bleeding on probing and there were no differences between test and control teeth. The mean pocket depths of the post-retained teeth and the contra-lateral teeth did not differ from each other; 3.8 mm (SD ± 1.9) and 3.3 (SD ± 1.3) respectively. However, the evaluation and assessment of the periodontal condition was only based on 25 subjects.

Dental caries was detected in nine of the post treated teeth. Decayed teeth were not considered as failures since the posts and cores were still in situ. Dislodgment of the posts was observed in 3 teeth after

1.5, 1.9 and 4.8 years and thus considered failures at the time of debonding. The recemented posts were thereafter in function for 1, 2 and 3 years respectively before a second dislodgement occurred. Thirty-two teeth had been extracted and the reasons for extraction were; in 14 cases fracture, in 10 cases periapical lesions and in 5 cases periodontitis. Three cases were not accounted for. The mean functional time for failures was 4.8 years, with a range of 1 month to 10 years (Fig 2).

None of the radiographs taken of the examined patients showed any evidence of periapical destruc-

tion. Periodontal bone height was not measured on the radiographs because of the low number of examined teeth.

The final restorations of the treated teeth were in most cases metal ceramic crowns (86%) and the remaining teeth were restored with composite material. No correlation between failure and type of restoration could be noted. Of the opposing occluding teeth; 90% had fixed restorations and 8% occluded with unrestored teeth. Two percent lacked occluding teeth. No signs of variations in failure versus position in the arch was evident.

Discussion

Technical complications with posts-and-cores are not unusual. Different types of technical complications can occur, such as loss of retention, root fracture, root perforation and fracture of the post. The most common complication is loss of retention (2, 31, 36, 38). Success rates for direct posts-and-cores (carbon-fiber-reinforced posts not included) range from 68% after 10 years to 92% after 8 years (25, 29).

In a prospective study of carbon-fiber reinforced epoxy-resin posts in endodontically treated teeth covered with metal ceramic crowns teeth are followed for 0.6-3.8 years (average 2.3 years) and the survival rate is 89,6% (15). When a comparison of survival rates for a 6-year period is made in a systematic review article, the meta-analytic comparison of cast and direct post restorations indicates that neither treatment modality is superior (20). The survival rate for cast posts-and-cores range from 87,2% (2) to 88,1% (29) compared to direct cores with a survival rate of 86,4% (25).

The size of this patient material was small. Unfortunately only approximately 25% of the patients were willing to participate in a clinical and radiographic examination. However, out of the evaluated 99 teeth, 64 teeth (65%) restored with the Composipost® system were still in function after a mean time of 6.7 years. Teeth restored with the Composipost® system had shorter survival times than those showed for cast posts or direct core build-ups, compared to results in studies mentioned above. When relating the results to the three-year follow up by *Fredriksson et al* (13), a difference in results was evident. The reason for this was a longer service period. Long term clinical observations are emphasized in the literature (18).

The material was from multiple general practice settings without standardization of clinical proto-

cols and this naturally influences the reliability of the sample. Thirty-nine patients were excluded due to insufficient data. During a period of ten years, the longest recorded follow up time, many changes took place that made it impossible to collect sufficient and reliable data. For instance, dental offices were computerized and this meant that some of the earlier dental records were lost or inadequate.

Some variations in failure rates were seen between the different dental practitioners. One explanation for this could be that the Composipost® system is a technically sensitive method. Another explanation may be that the selection criteria for the teeth to be restored could have varied between the dental practitioners. Possibly the selection criteria in general for all Composipost® treated teeth were expanded in trying a new and promising method at the time.

It is also important to emphasize that the manufacturer had made changes to both the cement and the core material. This could be relevant for a more positive or negative outcome than shown in this study.

Debonding of the post and core from the tooth and a leachable restoration might be caused by polymerization shrinkage (4). Microleakage can also be caused by thermal stresses caused by food induced temperature changes (39). The moisture within the tooth may also be of importance. The moisture content of dentin is reported to 14% (19). Since some of this moisture is not coupled to the calcified matrix it may have an effect on the cement and core. Only long term studies can prove if this has any clinical relevance.

Previous studies suggest that gutta-percha does not offer an effective barrier to leakage when exposed to the oral environment (26). Since microleakage most likely occurs, regardless of type of post-and-core restoration, it is of utmost importance to apply a layer of material that expands on hardening and prevents leakage to the apical portion of the tooth.

A recently published review article points out that the literature indicates that preservation of tooth structure is necessary and that posts should not be used with the intention of reinforcing the tooth. Furthermore a consideration of functional and parafunctional forces must be undertaken before restoring the tooth as these will influence the prognosis (10). The importance of avoiding nonaxial forces is emphasized. In a comparison of post-and-core design and the direction of functional load it is claimed that the direction of the load has a greater effect

than the post-and-core design on maximum stress and displacement (40).

The amount of remaining dentin is definitely an important factor for the longevity of post-and-core restored teeth (3, 24). Unfortunately the dentin ferrule of the post-and-core restored teeth was not a known parameter in the present study and therefore no conclusions can be made regarding this aspect. If an insufficient ferrule is present it may be appropriate to consider either a crown lengthening procedure or an orthodontic extrusion (14). If neither of the suggested procedures can be performed, an extraction therapy may be considered.

How durable are fiber-reinforced post and core structures? Can they be recommended for routine use as an alternative to individual cast posts? Since long term follow up results still are scarce, caution should still be recommended. Taking into consideration that a fiber reinforced polymer may be more sensitive to effects produced with time in comparison to cast posts, the fiber post may create a higher risk. In addition, the technique is quite sensitive as well. There are many individual parameters to consider before deciding on what type of post to use. Individual decision making must be made regarding: occlusion, type of tooth/teeth to be restored, amount of remaining dentin, expected and desired duration of treatment, patient's age, cooperation and economic situation. When post and core is necessary, for a limited duration in time, the fiber reinforced post is a possibility. However, when extensive fixed prosthodontics are planned and/or long duration of therapy is desired, the traditional post and core technique (cast post) should be the first choice.

Within the limitations of this retrospective study the following conclusions could be drawn:

1. Survival time of Composipost restored teeth were shorter than those of previously documented cast posts.
2. Since reasons for failure of a post-and-core restoration are dependent on a variety of different factors, some unknown; no definite conclusions can be drawn regarding the reasons for failures from this study.
3. It is essential that longterm in vivo prospective studies are made so that evaluation of new systems continuously can take place.

Acknowledgements

The authors would like to thank the participating dentists for their decision to contribute in this study.

This study was in part supported by Uppsala County Council and Praktikertjänst AB.

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Impaired positioning of the gape in whiplash-associated disorders

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Abstract

© We have previously introduced a new concept for natural jaw function suggesting that “functional jaw movements” are the result of coordinated jaw and neck muscle activation, leading to simultaneous movements in the temporomandibular, atlanto-occipital and cervical spine joints. Thus, jaw function requires a healthy state of both the jaw and the neck motor systems.

The aim of this study was to examine the positioning of the gape in space during maximal jaw opening at fast and slow speed in healthy as well as whiplash-associated disorders (WAD) individuals.

A wireless optoelectronic technique for three-dimensional movement recording was used. Subjects were seated in an upright position, with back support up to the mid-scapular level without headrest. The position of the gape in space was defined as the vertical midpoint position of the gape at maximal jaw opening (MP). In healthy, the MP generally coincided with the reference position at the start of jaw opening. In the WAD group, the MP was significantly lower than the reference position. No sex or speed related differences were found.

The results suggest that both the width and orientation of the gape in space relies on coordinated jaw and neck muscle activation and mandibular and head-neck movements. This study also suggests an association between neck pain and dysfunction following trauma, and reduced width and impaired positioning of the gape in space. Finally, the MP seems to be a useful marker in evaluation of the functional state of the jaw-neck motor system.

Key words

Human, gape-positioning, head, neck, mandible, movements, whiplash

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Försämrad förmåga att positionera gapet efter whiplashskada

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Sammanfattning

© Vi har tidigare introducerat ett nytt koncept för naturlig käkfunktion vilket innebär att ändsmålsenliga käkaktiviteter, som att äta, gäspa, tala, kräver koordinerad rekrytering av såväl käkmuskler som nackmuskler och samtidigt rörelser i käkleden, atlanto-occipitalleden och halskotpelaren. Käkfunktion kräver således hälsa i såväl käksystemet som nacksystemet.

Syftet med denna studie var att undersöka gapets, munöppningens, position i rymden vid maximal gapning hos friska personer och hos individer som råkat ut för en nackskada, "Whiplash Associated Disorders" (WAD). Såväl snabba som långsamma gapningsrörelser registrerades med teknik för optoelektronisk trådlös rörelsemätning. Personerna satt i upprätt ställning med stöd för ryggen men utan nackstöd. Gapets position i rymden definierades som mittpunkten för den maximala munöppningen (MP). För gruppen friska personer sammanföll MP med referenspositionen vid starten för gapning. I WAD-gruppen däremot var MP significant lägre än referenspositionen. Inga skillnader noterades med avseende på gapningshastighet eller kön. En slutsats är att gapets amplitud och position i rymden beror på koordinerade mandibel och huvud-nackrörelser och att det finns ett samband mellan whiplashskada, WAD, och försämrad förmåga att positionera gapet. Mittpunkten för gapets position i rymden, MP, är en användbar markör vid bedömning av käk-nacksystemets funktion.

Introduction

Anatomical and experimental investigations in animal and man suggest a close functional linkage between the jaw-face and head-neck regions (c.f. 1, 4, 9, 12, 14). From recent findings in man, we have introduced a new concept for natural jaw function, i.e. "functional jaw movements" are the result of coordinated activation of jaw as well as neck muscles, leading to simultaneous movements in the temporomandibular, atlanto-occipital and cervical spine joints (5, 11, 23). It has also been suggested that the mandibular and head-neck movements are executed by neural commands, which are common in origin (5, 23) and that these concomitant mandibular and head-neck movements are invariant in nature (24). Furthermore, ultrasonographic observations of fetuses have demonstrated concomitant mandibular and head-neck movements during fetal yawning (16, 18, 19). Taken together, these data suggest not only a strong functional coupling between the jaw and the neck sensory motor systems during natural jaw function, but also that this functional coupling is established early during development and is innate (23). We therefore propose that, by definition, natural jaw function is in fact integrated jaw and neck function. One parameter for judging the functional state of the jaw-neck motor system is the positioning of the gape in space, a crucial ability for any jaw action which relies on movements of both the mandible and the head-neck. Given that three joint systems are involved in natural jaw function, it is reasonable to suggest that disease or injury in any of the joints would derange natural jaw behaviour. This hypothesis has recently been tested by examining jaw behaviour in individuals suffering from post-traumatic pain and dysfunction in the neck, i.e. Whiplash Associated Disorders (WAD) (c.f. 20). Compared to healthy, the WAD individuals showed smaller amplitudes, slower speed and a deranged coordination between the mandibular and the head-neck movements during jaw activities (6, 10, 21).

Since both the jaw and the neck motor systems are involved in natural jaw function, it can be assumed that neck pain and dysfunction with reduced movements will compromise the optimal positioning of the gape. The present study further tested the hypothesis of a functional linkage between the human temporomandibular and craniocervical regions during natural jaw function. The specific aim was to examine the positioning of the gape in

space during maximal jaw opening at fast and slow speed in WAD as well as in healthy individuals.

Materials and Methods

Twenty-six individuals with WAD, twenty-one females (aged 27-57 years, median 34 years) and five males (aged 28-50 years, median 28 years), and fifteen healthy subjects, nine males (M) and six females (F) (aged 22-45 years; median 24 years) were examined. All subjects gave their informed consent according to the World Medical Association's Declaration of Helsinki. The investigation was approved by the Ethics committee of Umeå University.

The WAD individuals suffered from chronic pain and dysfunction in the neck following motor vehicle accidents (14 F, 4 M), fall (5 F, 1 M) or other trauma (2 F). Routine medical examination had not shown any skeletal damage after trauma. All WAD individuals were consecutive patients referred to the department of Clinical Oral Physiology, Umeå University Hospital, for assessment and management of pain and dysfunction in the jaw-face, which had developed following the accident. The duration between the accident and the examination for jaw-face pain and dysfunction was 1 to 9 years (median 4 years). One of the authors (P-OE) documented jaw-face pain and dysfunction by clinical examination (c.f. 17), and the findings were summarised by Helkimo's anamnestic (Ai) and clinical (Di) dysfunction indices (8). In these indices, Ai 0, Ai I and Ai II denote absence of symptoms, mild symptoms and severe symptoms, respectively, and Di 0, Di I, Di II and Di III denote absence of clinical signs, mild, moderate and severe dysfunction, respectively. Ai was II for all patients and the median for Di was III. The jaw-face pain and dysfunction was of muscular origin. All patients were tender to palpation in neck muscles. Pain intensity was documented for the jaw-face and neck by means of a visual analogue scale, VAS, labeled from 0 (indicating no pain) to 10 (indicating worst pain imaginable). Pain intensity was rated for "present pain", "least pain" and "worst pain". On average, jaw-face pain was rated 5 (SD 3), 2 (2) and 8 (3) and neck pain 6 (2), 3 (2) and 9 (1), respectively.

Movements of the mandible and the head-neck were simultaneously recorded using a wireless optoelectronic technique for 3D movement recording (13, 22). The participants were sitting upright without head-neck support, as previously described (4, 22). They were instructed to perform ten fast and ten slow maximal jaw opening-closing movements. In addition, for two of the WAD individuals, one female

and one male, the recording was repeated following treatment of jaw-neck dysfunction for a period of 9 and 11 months, respectively. The treatment was aimed at regaining jaw function by “reprogramming” the integrated jaw-neck motor behaviour (7), and included patient education, specific exercises for jaw-neck coordination and modulation of biomechanical load and sensory input to the jaw-neck neuromuscular systems by an intra oral appliance attached to the teeth of the upper jaw, and for 24 hours use.

The kinematic analyses were based on the recordings of the movements of the head-neck (Head), the mandible in space, i.e. the combined movements of the mandible and the head-neck (Mandible-S), and the mandible in relation to the head (Mandible-H) calculated after 3D compensation for the head-neck movements (22). All movements started and ended with the teeth in light contact, i.e. in the intercuspal jaw position (IP). The analyses of the Head and the Mandible-S movements were performed for the period between the start and the end of the Mandible-H movement. The start of the Mandible-H movement was defined as the position at which the mandible began the downward movement for jaw opening from IP, the end as the position at which the mandible had

completed the upward movement for jaw closing to reach IP. Maximal jaw opening was defined as the most inferior Mandible-H position.

The position of the vertical midpoint of the gape in space (MP) during the complete jaw opening-closing cycle was calculated according to the formula: $(y_{\text{Head}} + y_{\text{Mandible-S}}) / 2$ where y denotes the coordinates in the vertical dimension. The position of the vertical midpoint of the gape in space (MP) at maximal jaw opening was determined as the midpoint of the vertical distance between the marker on the head and marker on the mandible. The corresponding midpoint for the intercuspal jaw position (IP), i.e. the teeth in light contact at the start of jaw opening constituted the reference position. Figure 1 shows for one healthy and one WAD subject the movement trajectories of the Head, Mandible-S, Mandible-H and MP during the complete jaw opening-closing cycle. To further examine the influence of head-neck movements in jaw function, a simulated midpoint position of the gape (SMP) was created by mathematically excluding head-neck movements. At maximal jaw opening, the location of the SMP therefore was midway the maximal Mandible-H amplitude.

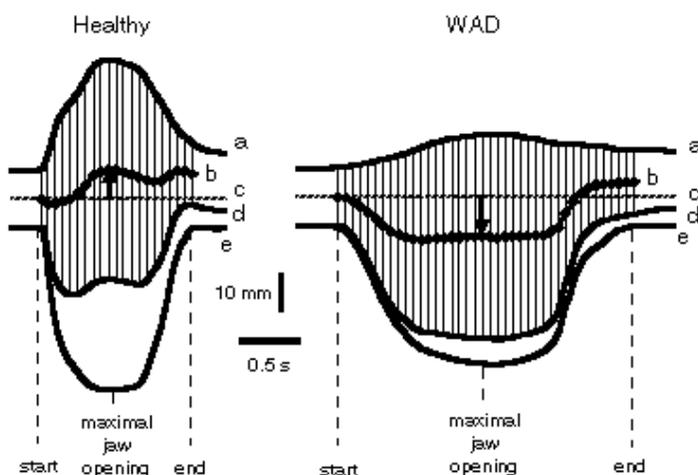
Statistical analysis

Mean, median, standard deviation (SD) and percentiles were used for descriptive statistics. The WAD and the healthy groups were compared using two tail unpaired t-test for two groups and the hypothesis of no difference in speed within or between groups was tested by the Wilcoxon Signed-Rank test, with a probability level of 0.05.

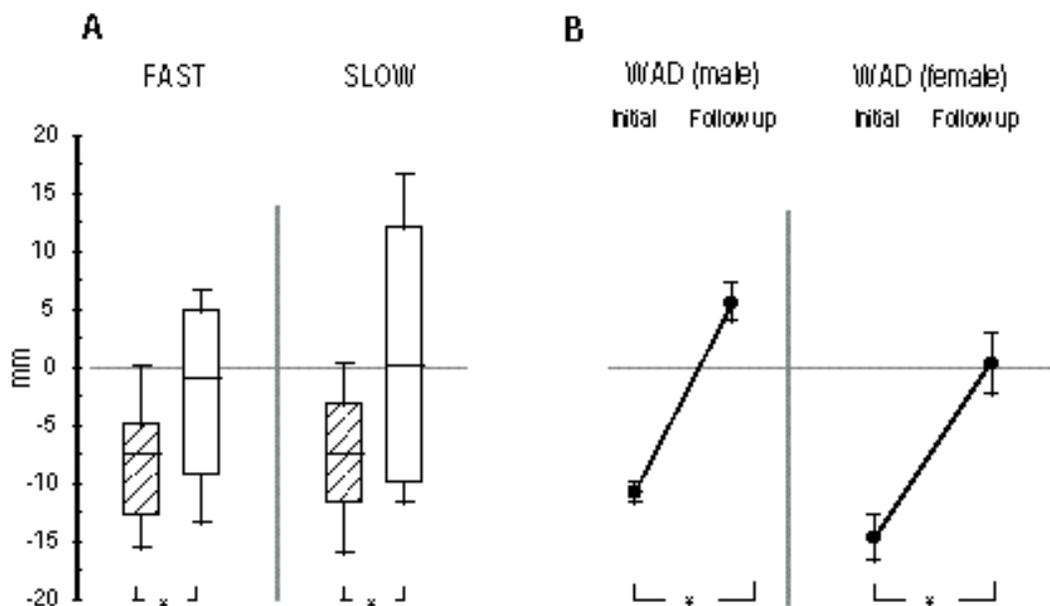
Results

All healthy subjects completed the test protocol, whereas the WAD individuals generally discontinued the task due to pain and discomfort. Seven WAD individuals performed the complete test protocol of ten tests, whereas nineteen individuals could complete two to nine tests (median 5) at each speed. Since no difference in MP was found between females and males the data for females and males were pooled both for healthy and the WAD groups. No difference in MP was found between fast and slow jaw opening.

For the entire jaw opening closing cycle, the MP was not static but moved in relation to the reference position at the start of jaw opening (Fig.1). Figure 2 A shows the MP values at maximal jaw opening in relation to the reference position at the start of jaw



© Figure 1. Recordings from one healthy and one WAD individual during one jaw opening-closing cycle, showing traces of the head-neck (a), the mandibular movement in space, i.e. the combined movement of the mandible and the head-neck (d), the mandibular movement in relation to the head (e), and the Mid-point position of the gape (b). Vertical arrows show Mid-point position of the gape at maximal jaw opening with regard to reference position at start of jaw opening (see text) (c). Note differences in healthy and WAD individual.



© **Figure 2.** The Mid-point position of the gape at maximal jaw opening (MP). The zero-line corresponds to reference position at start of jaw opening (see text). A. Box and whisker plots (10th, 25th, 50th, 75th and 90th percentiles) show results of healthy (unfilled, $n = 15$) and WAD individuals (filled, $n = 26$). Differences between healthy and WAD groups are marked. B. Mean and 95 % confidence interval values of MP for two WAD individuals during pre- (initial) and post- (follow up) treatment recordings. The duration between the pre- (5 fast, 5 slow, $n = 10$) and post- (10 fast, 10 slow, $n = 20$) treatment recordings was 9 months for female and 11 months for male individual. Note "normalization" of MP following treatment.

opening, for fast and slow speed, in healthy and WAD groups. In healthy, there was no significant difference between the MP value at maximal jaw opening and the reference position at the start of jaw opening. For fast speed, the MP at maximal jaw opening was 1 mm below the reference position at the start of jaw opening, and for slow speed 1 mm above the reference position at the start of jaw opening (median values). In contrast, in the WAD group there was a significant difference between the MP at maximal jaw opening and the reference position at the start of jaw opening. For both slow and fast speed the MP was 7 mm below the reference position at the start of jaw opening.

In healthy, the SMP was 22 mm (SD 7) and 23 mm (SD 7) below the reference position at the start of the mandibular movement for fast and slow speed, respectively. The corresponding values for the WAD group were 19 mm (SD 5) and 18 mm (SD 5).

In healthy, the vertical movement amplitudes for the Mandible-H were 48 mm (SD 6) and 47 mm (SD 5) for fast and slow speed, respectively. The corresponding Head amplitudes were 23 mm (SD 9) and 26 mm (SD 13). In the WAD group, the amplitudes for the Mandible-H were 37 mm (SD 10) and

36 mm (SD 9) for fast and slow speed, respectively, and the corresponding Head amplitudes were 12 (SD 8) mm and 13 (SD 9) mm. In healthy, the relative change in MP in relation to the reference position at the start of jaw opening was 2% and 0.5 % of the maximal Mandible-H amplitudes, for fast and slow movements, respectively. In the WAD group, the corresponding values were 18% and 19%, respectively. For the two WAD individuals who received treatment, the post-treatment recordings showed a significant upward shift of the MP to levels comparable with those of the healthy subjects (Fig. 2 B).

Discussion

If a jaw opening movement was performed only in the temporomandibular joint, i.e. without head-neck extension, the MP would be shifted downwards, along with the downward movement of the mandible. Furthermore, the magnitude of this downward shift of the MP would be half that of the mandibular movement in relation to the head, i.e. half that of the maximal amplitude of Mandible-H. However, in the healthy subjects we found that the MP at maximal jaw opening generally coincided with the reference position at the start of jaw opening. This suggests

that the orientation of the gape in space is achieved not only by movements in the temporomandibular joint but also involve head-neck movements. Such an interpretation is corroborated by the present findings in the WAD group, where the MP at maximal jaw opening was significantly lower than the reference position at the start of jaw opening. Notably, in the WAD group the magnitude of this downward shift of the MP was nearly twenty per cent of that of the Mandible-H. This observation can be explained by the relatively small head-neck extension during jaw opening found in the WAD group. Furthermore, the finding that the gape was positioned “too low” during jaw opening in the WAD individuals, supports our previous proposal of an association between neck injury/dysfunction and deranged jaw-neck motor behaviour (6, 7, 10, 21).

The influence of head-neck extension in the orientation of the gape was also demonstrated by the analysis of the MP during the entire jaw opening-closing cycle. In healthy, the MP started to shift downwards with the start of the jaw opening movement, indicating that the relative acceleration was faster for the mandible than for the head. However, at maximal jaw opening, the MP generally coincided with the reference position at the start of the jaw opening. The latter finding reflects a relative increase in acceleration of the head extension during the late phase of jaw opening. Also in the WAD group, the MP started to shift downwards with the start of the jaw opening movement, but in contrast to what was found in healthy, it remained in a downward position during the rest of the jaw opening-closing cycle. Again the difference between healthy and WAD individuals seems to be associated with the smaller head-neck extension in the WAD individuals.

We have previously shown that the trajectory of the MP during the complete jaw opening-closing cycle has a high spatiotemporal consistency both in healthy (24) and in WAD individuals (6), suggesting that even if the integrative jaw and head-neck behaviour is disturbed in response to neck dysfunction, it can still be performed in an invariant manner. The present results support our previous observations (6). The finding of obvious differences in motor behaviour between healthy and WAD individuals in this study and previously (6, 10, 21), indicate that the jaw motor system in WAD individuals has adapted to new neural settings and motor synergies to perform conceptually similar jaw tasks.

Positioning of the gape in space is performed

without visual guidance and therefore probably with significant aid of proprioceptive information from muscles and joints. As judged from the complex nature of muscle spindle structure in both jaw (2, 3) and neck (15) muscles, the proprioceptive mechanisms behind jaw-neck motor control appear to be advanced, and probably apt for fine control in complex tasks such as feeding, yawning and speech. The present data of a disturbed ability in the WAD group to correctly position the gape in space may reflect an altered proprioceptive input or central processing during jaw action.

Finely tuned head-neck movements during jaw function are probably associated with two main goals. First, free head-neck extension has biomechanical advantages by enabling optimal space for movements of the mandible during jaw opening, thus gaining maximal freedom for execution of the compound gaping movement (4). The opposite, a reduced head-neck extension ability, would limit the space for mandibular movements, due to impingement of the mandible with suprahyoid and airway structures (4). Second, as demonstrated in this study, the orientation of the gape in space involves both mandibular and head-neck movements. Thus, both jaw opening and the positioning of the gape in space seem to be governed by neural commands simultaneously activating jaw and neck neuromuscular synergies. Without such finely tuned neural control, jaw function would be disturbed.

In this report, two examples were included to illustrate the possible clinical use of evaluating gape position in analyses and documentation of post treatment changes in jaw function. The finding of a post-treatment normalization of the gape position is notable and adds to previous observations suggesting an important role of neck function in jaw motor control. Thus, in response to treatment, there was an upward shift of the MP at maximal jaw opening, to a level comparable with that of the healthy subjects. Moreover, this post-treatment change in MP was found to be associated with an increase in the head-neck extension amplitude during jaw opening. The faulty position of the gape in the WAD group could be related to change in proprioceptive ability, and the post-treatment observations seem to mirror an improvement of proprioceptive function and central processing of neuromuscular commands. Besides giving support for the notion of a functional linkage between the jaw and the neck motor systems, the result also points to a new approach for rehabilitation and improvement of neck

mobility in WAD. This matter with some exception (7), has not been addressed previously. However, further studies are needed and ongoing in our laboratory.

In conclusion, the results suggest that both the width and orientation of the gape in space relies on coordinated mandibular and head-neck movements, and that there is an association between neck pain and dysfunction following trauma and reduced width and impaired positioning of the gape in space. In this context, the mid-point of the gape in space seems to be a useful marker in evaluation of the functional state of the jaw-neck motor system. Finally, the role of neck function in jaw activities should be taken into account in research and clinical management.

Acknowledgements

The skilful technical assistance of Mr. Jan Öberg, and the programming assistance of Mr. Mattias Backén is gratefully acknowledged. Supported by the Umeå University, the Västerbotten Public Dental Health Service, and the Swedish Dental Society.

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Analysis of the interleukin-1 and interleukin-6 polymorphisms in patients with chronic periodontitis. A pilot study

HENRIK JANSSON¹, VALERIYA LYSSENKO², ÅSA GUSTAVSSON¹, KRISTINA HAMBERG¹, BJÖRN SÖDERFELDT³, LEIF GROOP² AND GUNILLA BRATTHALL¹

© The aim of this study was to analyse whether the interleukin-1 (IL-1) and IL-6 gene polymorphisms were associated with the susceptibility of chronic periodontitis.

Genomic DNA was obtained from 20 patients with chronic periodontitis and 31 periodontally healthy subjects. All subjects were of North European heritage. The test subjects were kept in a maintenance program after periodontal treatment but yet showing signs of recurrent disease. Genotyping of the IL-1 α [+4845C>T], IL-1 β [-3954C>T] and IL-6 [-174G>C] polymorphisms was carried out using an allelic discrimination Assay-by-Design method on ABI PRISM 7900 Sequence Detection System. All genotypes were analyzed using the GeneMapper 2.0 software.

A similar distribution of Single Nucleotide Polymorphism (SNP) was seen in both groups. Analysis by logistic regression including gender, IL-1 α [+4845C>T], IL-1 β [-3954C>T], IL-6 [-174G>C] genotypes, the composite IL-1 genotype, the combination of the composite IL-1 genotype and the IL-6 -174G>C genotype and adjusting for smoking did not result in any statistically significant difference.

SNPs in IL-1 α [+4845C>T], IL-1 β [-3954C>T] and IL-6 [-174G>C] do not seem to increase the susceptibility to chronic periodontitis in this group of subjects.

Key words

Genotype, interleukin-1, interleukin-6, periodontal diseases, polymorphism.

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Analys av interleukin-1 och interleukin-6 polymorfism hos patienter med kronisk parodontit

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Sammanfattning

⊙ Målet med den här studien var att undersöka huruvida IL-1 och IL-6 polymorfism är relaterat till ökad känslighet för kronisk parodontit.

Blodprov togs på 20 patienter med kronisk parodontit och 31 parodontalt friska individer. DNA extraherades från blodproverna. Samtliga individer var av nordeuropeiskt ursprung. Parodontitpatienterna ingick i ett parodontalt stödbehandlingsprogram efter avslutad parodontal behandling på specialistkliniken för Parodontologi i Malmö, Folk tandvården Skåne, men trots detta uppvisade patienterna tecken på förnyad parodontal sjukdom. Genotypning av IL-1 α [+4845C>T], IL-1 β [-3954C>T] och IL-6 [-174G>C] polymorfismerna utfördes med allelic discrimination Assay-by-Design på en ABI PRISM 7900 sekvens detektionssystem. Alla genotyper analyserades med mjukvaroprogrammet GeneMapper 2.0.

Fördelning av genetiska variationer var likvärdig i de båda undersökta grupperna. Logistisk regressions analys avseende kön, IL-1 α +4845C>T, IL-1 β -3954C>T, IL-6 -174G>C genotyperna, en kombination av den sällsynta genotypen för IL-1 α och IL-1 β , samt kombination av IL-1 och IL-6 -174G>C genotyperna, justerat för rökning, resulterade inte i någon statistisk signifikant skillnad.

Genetiska variationer vid IL-1 α [+4845C>T], IL-1 β [-3954C>T] och IL-6 [-174G>C] verkar inte öka mottagligheten för kronisk parodontit i den här gruppen av individer.

Introduction

Periodontitis is a chronic inflammatory disease initiated by specific bacteria, predominantly anaerobes (10). The immuno-inflammatory responses activated by bacteria lead to destruction of collagen and bone supporting the teeth (21). Although bacteria appear to be essential for disease initiation, there are individual differences in disease progression due to modifying host factors such as diabetes, smoking and genetics (9, 20). The body of accumulating evidences has shown that the pro-inflammatory cytokines such as tumour necrosis factor- α (TNF- α) and IL-1 are important mediators in a number of chronic inflammatory disorders (2, 6). IL-1 in particular is associated with periodontal disease because of its role as potent inducer of bone resorption (16) and increased levels have been demonstrated in both gingival crevicular fluid (GCF) (29) and gingival tissues (19) in patients with adult periodontitis. Variations in the immune system may be explained by genetic diversity. One genotype on the IL-1B gene is associated with increased IL-1 β production (26). There are indications that some polymorphisms in the IL-1 gene cluster may be associated with periodontal disease, but no consistent results have been obtained. It has also been reported that variations in cytokine expression as a response to noxious stimuli appear to be under genetic control (25) and that carriers of allele 2 of the IL-1 β +3953 polymorphism have increased the IL-1 β production (26). Kornman et al. (14) have found a strong association between the severity of periodontitis and composite genotype in a Caucasian population with north European heritage. The composite genotype associated with periodontitis comprised allele 2 of IL-1 α -889 polymorphism plus allele 2 of the IL-1 β +3953 polymorphism. IL-6 is also a pro-inflammatory cytokine, and the -174G>C polymorphism in the promoter region of the IL-6 gene has been associated with rheumatoid arthritis (24). IL-6 has also been detected in GCF, where a correlation between IL-6 concentration and the level of clinical disease (7), and increased levels of IL-6 in inflamed gingival tissue (30).

There are conflicting results whether IL-6 (-174) single nucleotide polymorphism (SNP) is associated with periodontal disease.

The aim of the present study was to investigate, whether common variants in the IL-1 α [+4845C>T], IL-1 β [-3954C>T] and IL-6 [-174G>C] genes are associated with increased susceptibility to chronic periodontitis.

Material and Methods

Subjects

Two groups of Caucasian subjects were included. One group with chronic periodontitis (periodontally diseased [PD+] group) and one group without showing any sites of >5 mm probing depth and normal radiographic bone levels, i.e. a distance of <3 mm between the CEJ and bone crest of the proximal tooth sites (periodontally healthy [PD-] group). The PD+ group consisted of 20 patients (9 females and 11 males, 48-70 years of age; mean 57.9 \pm SD 6.2) recruited from the Specialist clinic for Periodontology in Malmö, Sweden. These subjects were kept in a maintenance program after periodontal treatment but yet showing signs of recurrent disease. Details of sample selection, inclusion and exclusion criteria have been described previously (13). The PD- group consisted of 31 subjects (16 females and 15 males, 46-69 years of age; mean 55.0 \pm SD 7.4). Test and control individuals were asked about smoking habits with smoking defined as >10 cigarettes/day.

The periodontal examination was performed by two calibrated examiners (HJ and ÅG) and has been described in detail previously (13).

Blood sampling and extraction of DNA

Five ml of peripheral human blood was obtained from all subjects by standard venipuncture using ethylenediaminetetraacetic acid (EDTA)-tubes. The blood samples were stored at +4°C overnight and then centrifuged at +4°C at 3000 rpm for 15 minutes. The cellular component was separated and stored at -18°C until further analysis. The DNA was obtained using a modification of a standard method (32).

Genotyping

The laboratory analysis assembled a composite genotype for each study member for the IL-1 α +4845C>T and IL-1 β -3954C>T polymorphisms, which lie within the IL-1 gene cluster on chromosome 2q13. To determine the genotypes of these SNPs, polymerase chain reaction (PCR), with fluorogenic probes (Taqman® MGB probe) (4) was used, which is more reliable and faster than the conventionally PCR-restriction fragment length polymorphism (RFLP) assay (27). The same technique was used analysing SNP at IL-6 -174G>C.

Genotyping of the IL-1 α +4845 (National Center for Biotechnology Information (NCBI) accession number rs17561), IL-1 β -3954 (NCBI accession number rs1143634) and IL-6 -174 (NCBI accession

number rs1800795) polymorphisms was genotyped using allelic discrimination in the ABI PRISM 7900 Sequence Detection System (Applied Biosystems, Foster City, CA) in a 5- μ l reaction according to the manufacturer's instructions. Primers and probes were designed using Assays-by-Design (Applied Biosystems, Foster City, CA, USA) and were as follows: IL-1 α +4845; forward primer, 5'-TCTGCACTTGATCATGGTTTGTAGA-3'; reverse primer 5'-CATTGGCTCGAATTATACTTTGATTGAGG-3'; probe: VIC-CTAGGTCAGCACCTTT and FAM-CCTAGGTCATCACCTTT; IL-1 β -3954; forward primer 5'-ACCTAAACAACATGTGCTCCACA-3'; reverse primer 5'-ATCGTGCACATAAGCCTCGTTA-3'; probe: VIC-CATGTGTCAAGAAGA and FAM-CATGTGTCAAGAAGA; IL-6 -174G>C; forward primer 5'-GACGACCTAAGCTGCACTTTTC-3'; reverse primer 5'-GGGCTGATTGGAAACCTTATTAAGATTG-3'; probe: VIC-CCTTAGCATCGCAAGAC and FAM-CCTTAGCATGGCAAGAC and probes. A composite genotype was defined as at least one allele 2 present at each locus (IL-1 α +4845 and IL-1 β -3954), according to Kornman *et al.* (14).

Statistical Analyses

Fisher's exact test was used to test for significance of differences in genotype and allele frequencies in PD+ and PD- subjects. Adjustments for gender and smoking were carried out by logistic regression analysis, where goodness of fit was judged by classification plots and calculation of model chi-square. Two-sided P Values (p-values) <0.05 were considered statistically significant. All analyses were done using Statistical Package for Social Sciences (SPSS) for Windows, version 13.0 (<http://www.spss.com>).

Ethical requirements

The Medical Ethics Committee of Lund University, Lund, Sweden approved the study in accordance with the Helsinki Declaration. All patients gave their signed, informed consent prior to inclusion in the project.

Results

The allele and genotype frequencies of the IL-1 α +4845C>T, IL-1 β -3954C>T and IL-6 -174G>C polymorphisms are summarized in Table 1. The IL-1 α +4845C>T, IL-1 β -3954C>T and IL-6 -174G>C polymorphisms were common in all subjects (frequency of 75% for the IL-1 α +4845C allele, 78% for the IL-1 β -3954C and 66% for the IL-6 -174G in this group individuals). There were no significant differences

between the PD- and PD+ groups according to allele or genotype frequency.

Analysis by logistic regression including gender, IL-1 α +4845C>T genotype, IL-1 β -3954C>T genotype, IL-6 -174G>C genotype, the composite IL-1 genotype, the combination of the composite IL-1 genotype and the IL-6 -174G>C genotype and adjusting for smoking did not result in any statistically significant difference. Nor was the model as a whole significant.

Discussion

The results of the present study did not show any statistically significant difference of the composite IL-1 genotype alone, IL-6 -174G>C gene polymorphism alone or the combination of the composite IL-1 and IL-6 -174G>C gene polymorphisms in patients with chronic periodontitis. This is to our knowledge the first study analysing the combination of the composite IL-1 and IL-6 -174G>C gene polymorphisms in smoking patients with chronic periodontitis.

Our result regarding the composite IL-1 genotype is in contrast to Meisel *et al.* (18) and McDevitt *et al.* (17). Meisel *et al.* (18) reported an increased risk of periodontal disease in a group of genotype-positive smokers. McDevitt *et al.* (17) performed a case-control study, comprising 44 subjects with moderate to severe periodontal disease and 46 subjects with healthy periodontal tissues or mild periodontal disease, in either non-smokers or former smokers. They reported a 41% prevalence of the positive composite genotype in the test group and 28% in the control group with no statistically significant difference. When using a multivariate logistic regression analyses, adjusting for confounders such as age and past smoking history McDevitt *et al.* (17) showed a correlation between the rare IL-1 genotype and periodontal disease.

Irrespective of smoking, our result is in accordance with Papanou *et al.* (22), however. They investigated the prevalence of the composite genotype in 132 patients with periodontitis and 73 periodontally healthy individuals and found an occurrence rate of 42, 9% of the composite IL-1 genotype. There was no statistically significant difference between test and control subjects. Contrary to our result Kornman *et al.* (14), Gore *et al.* (8) and Laine *et al.* (15) have shown an association between combinations of two single nucleotide polymorphisms (SNP) in the IL-1 α and IL-1 β genes and higher prevalence of severe periodontal disease.

© **Table 1:** Allele and genotype frequencies of the studied polymorphisms, and the frequency of the composite IL-1 genotype (IL-1AB) and combination of the composite IL-1 genotype and the rare IL-6 -174G>C genotype (IL-1AB6) in subjects with [PD+] or without [PD-] chronic periodontitis.

Allele/Genotype % (n)				p-value
IL-1 α +4845C>T	All (n = 51)	PD- (n = 31)	PD+ (n = 20)	
T	25 (26)	24 (15)	27,5 (11)	
C	75 (76)	76 (47)	73,5 (29)	NS
TT	4 (2)	3 (1)	5 (1)	
CT	43 (22)	42 (13)	45 (9)	
CC	53 (27)	55 (17)	50 (10)	NS
IL-1 β -3954C>T	All (n = 51)	PD- (n = 31)	PD+ (n = 20)	
T	22 (22)	21 (13)	22,5 (9)	
C	78 (80)	79 (49)	77,5 (31)	NS
TT	2 (1)	3 (1)	0 (0)	
CT	39 (20)	36 (11)	45 (9)	
CC	59 (30)	61 (19)	55 (11)	NS
IL-6 -174G>C	All (n = 51)	PD- (n = 31)	PD+ (n = 19)	
C	34 (35)	29 (18)	45 (17)	
G	66 (67)	71 (44)	55 (21)	NS
CC	20 (10)	13 (4)	32 (6)	
GC	29 (15)	32 (10)	26 (5)	
GG	51 (26)	55 (17)	42 (8)	NS
IL-1AB	All (n = 51)	PD- (n = 31)	PD+ (n = 20)	
Negative	67 (34)	71 (22)	60 (12)	
Positive	33 (17)	29 (9)	40 (8)	NS
IL-1AB6	All (n = 50)	PD- (n = 31)	PD+ (n = 19)	
Negative	82 (41)	84 (26)	79 (15)	
Positive	18 (9)	16 (5)	21 (4)	NS

Cullinan *et al.* (5) investigated the relationship between IL-1 genotype and periodontitis in a prospective longitudinal study in an adult population of essentially European heritage. They found a consistent trend for IL-1 genotype positive subjects to experience attachment loss when compared with IL-1 genotype negative subjects. They concluded that an interaction of the IL-1 positive genotype with age, smoking and *P. gingivalis* could be a contributory risk factor for periodontal disease progression in that population.

In contrast to Cullinan *et al.* (5), Cattbriga *et al.* (3) reported that there were no statistically significant differences related to IL-1 genotype in tooth loss after 10 years in a non-smoking, well-maintained periodontal population. On an individual level, however, the IL-1 genotype in combination with the initial bone level was considered to be of value for predicting future bone level variation.

A pronounced difference in the prevalence of the composite genotype was reported in a study comprising a Chinese population (1). The prevalence of the composite IL-1 genotype was 2.3%, which is dramatically lower than what is reported for Caucasians. No conclusions could be drawn concerning the relation between IL-1 polymorphism and periodontal disease. Neither could this association be found in African-Americans with localized juvenile periodontitis (33) and in European Caucasians with generalized Early Onset Periodontitis (23). It is conceivable that the frequency of genetic alleles varies between different ethnical populations and forms of periodontal disease.

No association between IL-6 polymorphism and chronic periodontitis was found in the present study. This might be due to the fact that IL-6 is a pleiotropic cytokine, with both inflammatory and anti-inflammatory properties. The -174G>C poly-

morphism in the promoter region of the IL-6 gene has been associated with rheumatoid arthritis (24) and several features of the metabolic syndrome in Caucasians (11). *Trevilatto et al* (31) found a relationship between IL-6 -174G>C polymorphism and chronic periodontitis. No association, however, was found between chronic periodontitis and IL-6 -174G>C polymorphism by *Holla et al.* (12).

All test patients were selected from a large group of patients enrolled in a maintenance care program at a specialist clinic of periodontology but yet showing signs of recurrent disease. With this in mind and the special clinical features of the recruited test group, it was difficult to find a control group (periodontally healthy smokers) at the same age and gender. A correlation between chronic periodontitis and the positive genotype in smokers cannot be excluded, however.

Estimation of genetic predisposition and smoking represents a couple of several dimensions of patient-based risk for disease progression. Further research is needed to identify other periodontal candidate genes or combinations of genes involved in the immuno-inflammatory response in periodontal disease.

In conclusion, SNPs in IL-1 α [+4845C>T], IL-1 β [-3954C>T] and IL-6 [-174G>C] do not seem to increase the susceptibility to chronic periodontitis in this group of subjects.

Acknowledgements

We would like to thank Professor Kenneth S. Kornman for his assistance with primer and probe design. DDS Christina Diogo-Löfgren for assisting with patient selection. Dr. Harvest Gu for helpful discussion.

The Swedish Dental Society and The Swedish Patent and Revenue Research Fund for Preventive Odontology, Sweden supported the study.

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Periodontal conditions in a Swedish city population of adolescents:

A cross-sectional study

KAJSA H. ABRAHAMSSON^{1, 2}, GUNILLA KOCH¹, OLA NORDERYD³, CRISTINA ROMAO¹, AND JAN L WENNSTRÖM¹

Abstract

© The aim of this epidemiological survey was to analyze the periodontal conditions of 19-year old individuals in an urban area of Sweden, with special reference to gender and socioeconomic factors.

A randomized sample of 272 individuals living in Göteborg, Sweden, was clinically examined with regard to oral hygiene, gingivitis, periodontal pockets, probing attachment loss (PAL) and gingival recession. Bitewing radiographs were used for assessments of alveolar bone level (ABL) and dental calculus. A questionnaire-based interview regarding oral hygiene habits was included. Data were analyzed with regard to differences between gender and socioeconomic grouping.

The subjects showed a mean plaque score of 59% and a gingivitis score of 44%. 70% of the adolescents had a plaque score of $\geq 50\%$, whereas corresponding figure for gingivitis was 37%. 27% of the subjects had at least one tooth with gingival recession. The mean prevalence of sites with probing depth of ≥ 6 mm was 0.5, and the prevalence of PAL ≥ 2 mm was 0.7. A radiographic bone level of ≥ 2 mm was observed at on average 0.8 teeth per subject. Females had significantly less plaque and gingivitis than males and significantly higher number of teeth with gingival recession. There were no clinically significant differences in periodontal conditions between socioeconomic groups.

In conclusion, the survey revealed higher prevalence of plaque and gingivitis among male than female adolescents but no differences between socioeconomic groups.

Key words

Gingivitis, periodontal disease, oral epidemiology, gender, socio-economical.

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En epidemiologisk tvärsnittstudie avseende parodontal hälsa bland 19-åringar i Göteborg

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Sammanfattning

☉ Syftet med studien var att undersöka parodontalt hälsostatus bland 19-åringar i Göteborg, med fokus på eventuella skillnader i relation till kön och socioekonomisk status. Ett randomiserat urval om 272 individer deltog i studien. Den kliniska undersökningen omfattade registrering av plaque, gingivit, ficksonderingsdjup och parodontal fästeförlust samt buckal gingival retraktion. Den röntgenologiska undersökningen omfattade 4 bite-wings som analyserades avseende alveolär benhöjd och förekomst av tandsten. Vidare användes ett strukturerat intervjuformulär rörande munhygienvanor. För socioekonomisk gruppering av individerna användes det index som låg till grund för Folkvandvårdens resursfördelning till klinikerna.

Deltagarna uppvisade i medeltal ett plackindex på 59% och ett gingivalt blödningsindex på 44%. Sjuttio procent av ungdomarna hade ett plackindex $\geq 50\%$ och 37% uppvisade gingivalt blödningsindex av motsvarande storleksordning. Tjugosju procent hade minst en tand med buckal gingival retraktion. Frekvensen av fickor med ett sonderingsdjup $\geq 6\text{mm}$ var i genomsnitt 0,5 och med en sonderbar fästeförlust av $\geq 2\text{mm}$ 0,7 per individ. Alveolar benförlust $>2\text{mm}$ noterades vid 0,8 tänder/individ. Flickor hade signifikant mindre plaque och gingivit men signifikant mer gingivala retraktioner än pojkar. Inga signifikanta skillnader i parodontalt status noterades med avseende på socioekonomisk gruppering.

Sammanfattningsvis påvisade studien högre plaque- och gingivitförekomst hos pojkar jämfört med flickor men att det inte förelåg några skillnader i parodontalt status med avseende på socioekonomisk gruppering.

Introduction

Infection control through adequate oral hygiene measures is crucial for the prevention of periodontal diseases. In case of improper oral hygiene, build up of dental biofilms (dental plaque) will trigger the development of gingivitis (3, 11, 12, 15), which in turn by time may progress into destructive periodontal disease (14, 19, 23). For several decades, the issue of prevention has been in the focus of oral health promotion programs for children and adolescents provided by the organized community dental care service in Sweden. However, whether such oral health promotion programs have resulted in long-term changes in oral health behaviours and oral conditions among adolescents is not well documented. In a recent systematic review on oral health education interventions in various age groups (27), it was concluded that in the majority of included studies improved oral hygiene and gingival conditions were achieved in the short-term, but that the clinical and public health significance of these changes is questionable because of limited long-term data. Though cost-effectiveness data from long-term randomized controlled trials of oral health education interventions are required, also data on oral conditions in adolescents/young adults generated from epidemiological studies of populations subjected to organized preventive measures may provide pertinent information.

The Public Dental Service in Sweden provides regular dental care without cost for the individual up to 20 years of age, and in most communities preventive programs for children and adolescents were launched already in the 1970s. In a series of cross-sectional studies performed in 1973, 1983 and 1993 of the population living in the community of Jönköping, Sweden, *Hugoson et al.* (9) evaluated changes in oral health conditions of 20-year old individuals for description of the effectiveness of population-based strategies for prevention used in the Public Dental Service of the community. The data revealed a decrease in mean plaque and gingivitis scores between 1973 and 1983, but a relapse in 1993 to figures comparable to those in 1973. Thus, while the prevalence of sites with gingivitis decreased during the first 10-year period from an average of 35% to 17%, the corresponding figure was 32% at the 20-year survey. In 1993, 36% of the individuals showed a plaque score exceeding 50%, while 30% of the individuals had a gingivitis score of corresponding magnitude. It was speculated that reasons for the impairment of oral hygiene

and gingival conditions during the latter part of the observation period might be related to a reduction of the resources for the community dental service, but also that the group of young individuals might have become more difficult to motivate to oral health care (17).

The present epidemiological survey was performed to generate information on periodontal conditions of adolescents as background data for a research project focusing on various behavioural aspects of young individuals in relation to oral health issues. The specific aim of this report was to analyze the periodontal conditions of 19-year old individuals living in the community of Göteborg, Sweden, with special reference to gender and socio-economic factors.

Material and methods

Subjects

The survey was performed in year 2000 and comprised a computer-based random selection of 10% of all individuals born in 1981 (19 years of age) and living in the community of Göteborg, Sweden. To the 472 randomly selected individuals a letter of invitation was mailed with information about the purpose and content of the study. The individuals who were willing to participate in the study were scheduled for an appointment at their regular dental clinic for a structured interview and a clinical and radiographic examination. The adolescents who did not respond to the invitation were contacted a second time. The Ethics Committee of Göteborg University reviewed and approved the study protocol and all participants provided informed consents.

Socio-economic grouping

A socio-economic (SE) characterization of the population sample was performed according to an index used by the Public Service in the community. Briefly, the SE index for a specific region of the community is determined based on the % of individuals in the age 18-64 years (i) having a native country other than the Scandinavian countries, (ii) receiving social allowance, (iii) being unemployed, and (iv) having a low education level (elementary school only), in relation to corresponding figures for the total population in the community. Based on the calculated SE-index for the various regions of geographic location of the community dental clinics, 3 socio-economic groups were defined; SE-1 (SE-index <9.5%; 9 clinics), SE-2 (SE-index

9.8-15.5%; 9 clinics) and SE-3 (SE-index >19.2%; 7 clinics). Hence, the adolescents were socio-economically classified according to the SE-grouping of the community dental clinic at which they were listed as patients.

Questionnaire

A questionnaire-based interview was performed to obtain information about oral hygiene habits (tooth brushing frequency and interdental cleaning).

Clinical assessments

Two specially trained and calibrated dental hygienists performed the clinical examinations. Besides the number of teeth (3rd molars excluded), the following variables were included in the clinical examination:

- Oral hygiene status (Plaque score) - assessed as presence/absence of visible plaque on 4 surfaces (mesial, buccal, distal, lingual) of the 6 Ramfjord index teeth (21).
- Gingivitis – defined as presence of bleeding following probing of the sulcus area (13) and registered at 6 sites (mesio-buccal, mid-buccal, disto-buccal, disto-lingual, mid-lingual and mesio-lingual) of all teeth.
- Probing pocket depth (PPD) - measured with a standard periodontal probe (UNC 15 probe) to the closest higher mm. The measurements were performed at 6 sites of all teeth and only measures exceeding 3 mm were recorded.
- Probing attachment loss (PAL) - probing pocket depth assessed from the cement-enamel junction (CEJ) at 6 sites of all teeth.

* Gingival recession - defined as location of the gingival margin apical to the CEJ and scored in mm for facial tooth sites.

Radiographic assessments

Four bitewing radiographs of the premolar and molar regions were obtained using a standardized parallel technique. In the radiographs, the alveolar bone level (ABL) was assessed by measuring the distance in mm from the CEJ to the alveolar bone crest, i.e. the point at which the periodontal ligament space was considered to have a normal width (2). The measurements were made by the use of a magnifying lens (7x) to the nearest lower 0.5 mm at all mesial and distal tooth surfaces reproduced in the bitewing radiographs. A site was considered "non-readable" if the alveolar bone crest or the CEJ could not be defined. In addition, dental calculus

was scored dichotomously as present/absent for each posterior jaw quadrant. A jaw quadrant was scored positive for calculus if at least 2 tooth surfaces demonstrated presence of radiographically detectable calculus. One examiner performed all radiographic assessments.

The intra-examiner reproducibility of alveolar bone level measurements was determined by repeated assessments of 10 randomly selected subjects (a total of 299 sites) with a one-week interval. Replicate pairs of measurements showed a mean difference of 0.4 mm (S.D. 0.11). Of the total number of measurements, 96.4% were reproduced within a difference of ± 0.5 mm. The error of the method corresponded to 6% of the variance for the mean alveolar bone level in the entire population sample.

Data analysis

The highest value with respect to probing measurements (pocket depth, attachment loss and gingivitis) at mesio-buccal/mesio-lingual and disto-buccal/disto-lingual tooth sites, respectively, was selected to represent the proximal site. The outcome data were expressed as mean values and standard errors (S.E.), median values (range), and as absolute and % frequencies based on the individual as the unit for the analysis. Differences in proportions of individuals with regard to various characteristics were statistically tested by the use of χ^2 -analysis. Student's t-test and Mann-Whitney U-test were used for analysis of continuous variables. For statistical analysis of differences between SE-groups ANOVA and the Scheffe's posthoc test were applied. Finally, multiple logistic regression analysis was used in order to explore associations between various subject and clinical characteristics. The dependent variables evaluated were plaque ($\geq 50\%$), gingivitis ($\geq 50\%$), probing pocket depth ≥ 4 mm (≥ 10 sites), and gingival recession (≥ 1 sites). All data analyses were processed by the use of the Statistical Products Service Solutions - SPSS (26) and with a p-value of < 0.05 as the level of statistical significance.

Results

Out of the 472 randomly selected subjects, 272 (58%) attended the examination. Fifty-two % of the respondents were females. Based on the socio-economic index used, 95 individuals (35%) were classified as belonging to the SE-1 group, 108 (40%) to the SE-2 and 69 (25%) to the SE-3 group.

© **Table 1.** Comparisons between respondents (n=272) and non-respondents (n=200) with regard to gender, SE-index, native country and ABL (alveolar bone level).

Variable	Respondents		Non-respondents		p-value*
	n	%	n	%	
Males	130	48	122	61	0.004
Females	142	52	78	39	
SE-index					NS
SE-1	95	35	56	28	
SE-2	108	40	74	37	
SE-3	69	25	70	35	
Native country					NS
Scandinavia	222	82	127	75	
Other countries	50	18	43	25	
	Mean (S.E.)	Range	Mean (S.E.) [†]	Range	p-value**
ABL (no. of sites >2 mm)	0.8 (0.1)	0-13	0.6 (0.1)	0-8	NS

* χ^2 test **Mann-Whitney U-test; [†]n=133

Respondents versus non-respondents (Table 1)

Reasons for not participating in the study (n=200) were (i) no time/not interested (44%), (ii) moved from the area (30%), deceased (0.5%) and (iv) unknown (25.5%).

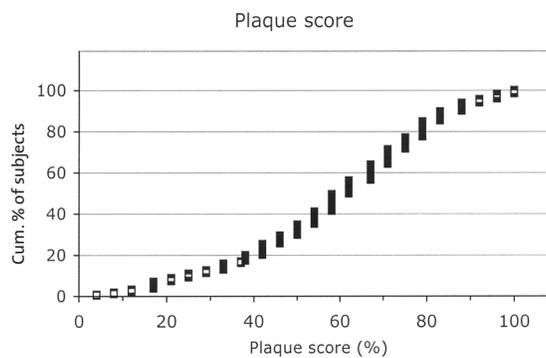
No statistically significant differences with regard to SE-grouping or native country, i.e. Scandinavia or another country, were observed between respondents and non-respondents. However, the proportion of males was significantly greater among the non-respondents than the respondents (p= 0.004).

Recent bitewing radiographs could be retrieved from the dental clinics for 133 of the non-respondents for analysis of alveolar bone levels (ABL). The mean number of tooth sites with an ABL of >2 mm was for the non-respondents 0.6 (S.E. 0.1; range 0-8) compared to 0.8 (0.1; range 0-13) for the respondents (p>0.05).

Oral hygiene habits

A majority (83%) of the respondents brushed their teeth at least twice a day, whereas about 1% indicated tooth brushing less than once/day. A tooth-brushing frequency of ≥ 2 times/day was more common among women than men (90% versus 75%; p=0.002) and among adolescents in the SE-1 compared to the SE-3 group (p=0.007) (SE-1 92%; SE-2 83%; SE-3 70%).

Interdental oral hygiene means (dental floss) were used daily by 3% of the subjects and 47% reported a sporadic use. Females were more frequent



© **Figure 1.** Cumulative percent of individuals in relation to plaque score (%; each mark may represent several cases).

users than males (59% versus 40%; p=0.003), while the SE-groups did not significantly differ regarding interdental tooth cleaning habits.

Clinical assessments

Number of teeth

Seventy-nine % of the individuals had all 28 teeth, 12% missed 1-2 teeth and 8% missed 3-4 teeth. Missing teeth were predominantly premolars (5%). One individual with the diagnosis hereditary aplasia had only 10 teeth. There were no significant differences between genders or between the three SE-groups with regard to number of missing teeth.

Oral hygiene status (Table 2 and Fig. 1)

The mean % of tooth surfaces harbouring visible

plaque was for the entire sample 59%, with a higher score for proximal (79%) than buccal (24%) tooth surfaces. Seventy % of the examined individuals had a plaque score of $\geq 50\%$, whereas a score of $\leq 20\%$ was found in only 7% of the subjects. Males had a significantly higher mean plaque score than females (63% versus 55%; $p=0.002$). No significant differences were observed in oral hygiene conditions between the three SE-groups.

Gingivitis (Table 2 and Fig. 2)

The mean % of gingival sites showing gingivitis (bleeding following superficial probing) was 44%. Gingivitis was more prevalent for proximal (68%) than buccal sites (17%). Thirty-seven % of the individuals showed a full-mouth gingivitis score of $\geq 50\%$, and only 9% had a score of $< 20\%$. Men had a significantly higher % of sites with gingivitis than women (48% versus 41%; $p=0.006$). No significant differences were observed in prevalence of gingivitis between the three SE-groups.

Probing pocket depths (Table 3 and Fig. 3)

The mean number of sites with a PPD of $\geq 4\text{mm}$ was 12.5, out of which 96% were located proximally. 9% of the adolescents showed no such sites, while 63% had > 5 sites and 12% had > 25 sites. A lower prevalence of sites with PPD $\geq 4\text{ mm}$ was found for subjects in the SE-2 group (8 sites) compared to the SE-1 (15 sites) and SE-3 groups (14 sites) ($p=0.001$).

The overall prevalence of sites with PPD $\geq 6\text{ mm}$ was low (mean value 0.5 sites/individual). Sites with this magnitude of PPD were found in 19% of the subjects and predominantly at the distal aspect of the second molars. The proportion of subjects harbouring sites with PPD $\geq 6\text{ mm}$ was lower for the SE-2 group (10%) compared to the SE-1 (28%, $p=0.004$) and the SE-3 group (20%). There was no statistically significant difference between genders in the prevalence of deepened pockets.

Probing attachment loss (Table 3 and Fig. 4)

The mean number of sites with a probing attachment loss (PAL) of $\geq 2\text{ mm}$ was 0.7 /individual (SE-1 1.0; SE-2 0.4; SE-3 0.8). About 22% of the individuals presented with 1-3 sites with a PAL of $\geq 2\text{ mm}$. The highest frequency of such sites (38 sites) was recorded for a girl in the SE-3 group (recently moved to Sweden and never received any dental care). No statistically significant differences regarding the prevalence of sites with probing attachment loss were found between genders or between the SE-groups.

Table 2. Plaque and gingivitis scores. Mean percentage (S.E.), median value and range of sites with presence of visible plaque and gingivitis.

Variable	Mean (S.E.)	Median	Range
Plaque score (%)			
All surfaces	58.7 (1.4)	62.5	4.2 - 100
Buccal	24.3 (1.5)	16.7	0 - 100
Proximal	79.3 (1.5)	91.7	0 - 100
Gingivitis score (%)			
All surfaces	44.4 (1.1)	42.0	3.6 - 92.9
Buccal	17.0 (1.0)	10.7	0 - 82.1
Proximal	67.7 (1.4)	70.9	7.1 - 100

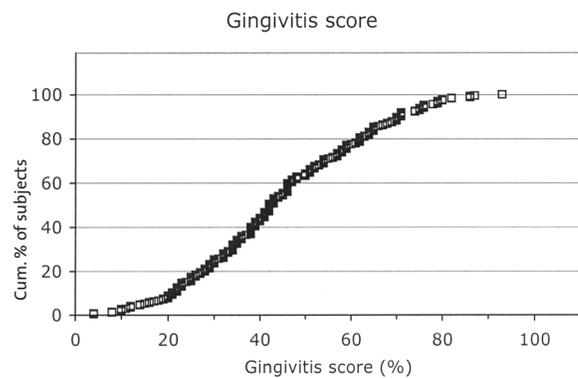
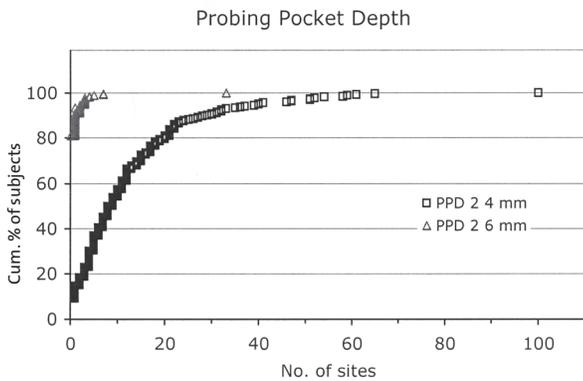


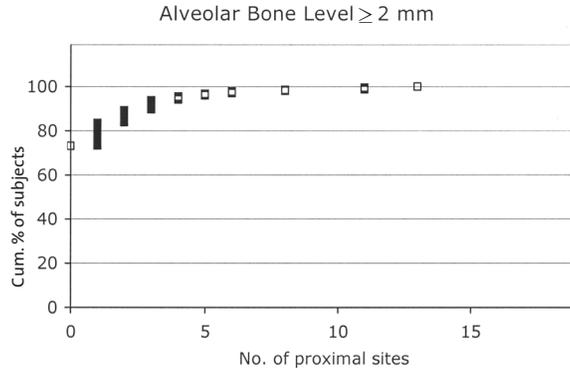
Figure 2. Cumulative percent of individuals in relation to gingivitis score (%).

Table 3. Probing pocket depths (PPD), probing attachment loss $\geq 2\text{ mm}$ (PAL), gingival recession and alveolar bone level (ABL). Mean number of sites (S.E.), median value and range.

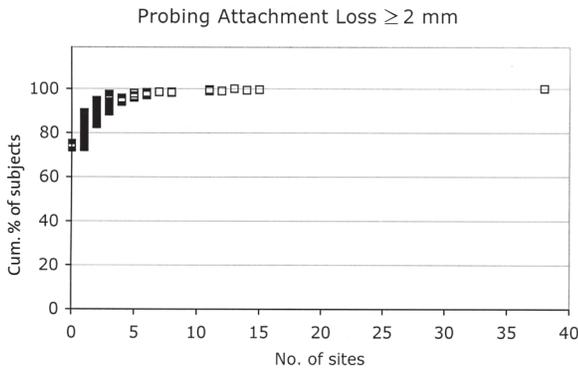
Variable	Mean (S.E.)	Median	Range
PPD $\geq 4\text{ mm}$			
All surfaces	12.5 (0.8)	9	0 - 100
Proximal	12.0 (0.8)	8	0 - 86
PPD $\geq 6\text{ mm}$			
All surfaces	0.5 (0.1)	0	0 - 35
Proximal	0.5 (0.1)	0	0 - 35
PAL $\geq 2\text{ mm}$			
All surfaces	0.7 (0.2)	0	0 - 31
Proximal	0.3 (0.1)	0	0 - 38
Gingival recession (Facial sites)			
ABL $> 2\text{ mm}$	0.8 (0.1)	0	0 - 13



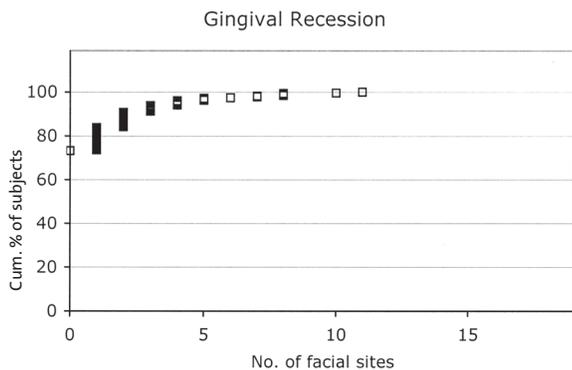
© Figure 3. Cumulative percent of individuals in relation to number of sites with probing pocket depth of ≥ 4 and ≥ 6 mm.



© Figure 6. Cumulative percent of subjects in relation to number of proximal sites with an alveolar bone level (ABL) of > 2 mm.



© Figure 4. Cumulative percent of individuals in relation to number of sites with probing attachment loss of ≥ 2 mm.



© Figure 5. Cumulative percent of individuals in relation to number of facial sites with gingival recession.

Gingival recession (Table 3 and Fig. 5)

The subjects had on average 0.7 teeth with facial gingival recessions. 73% of the subjects had no recession defects, while 1-5 teeth with gingival recession was observed in 24% and ≥ 6 teeth in 3% of the adolescents. Comparison between genders revealed that females were somewhat more frequently affected by gingival recessions (31% versus 22%) and showed a higher mean number of affected teeth (1.0 versus 0.4 teeth; $p=0.007$). Moreover, there was a tendency towards a lower % of individuals showing gingival recessions in the SE-3 group (20%) compared to the SE-1 (30%) and SE-2 group (29%).

Radiographic assessments (Table 3 and Fig. 6)

On the average 27 tooth sites per subject were assessable with regard to alveolar bone level (ABL). The mean number of proximal sites with a distance between the CEJ and the marginal bone of > 2 mm was 0.8 (0.1; range 0-13). About 73% of the individuals had no sites with an ABL > 2 mm. More than 5 sites with an ABL > 2 mm was found in about 3% of the subjects. Radiographically detectable calculus in at least one jaw quadrant was observed in 3% of the adolescents. No statistically significant differences with regard to the radiographic assessments were observed between genders or SE-groups.

Regression analyses

Multiple logistic regression analyses were performed in order to identify associations between subject characteristics (gender and SE-group) and defined clinical outcome variables. The models formulated for plaque ($\geq 50\%$) and gingivitis ($\geq 50\%$), respectively, revealed that gender (men), but not SE-group,

was a statistically significant factor for a high score. However, the level of explained variance in both of these models was low ($R^2 = 0.039-0.042$). The regression models having PPD ≥ 4 mm and gingival recession as dependent variable both failed to reach significant predictive power.

Discussion

The results of the present survey revealed a high prevalence of plaque and gingivitis among 19-year old individuals. Further, about one fourth of the adolescents had at least one tooth with gingival recession and an equal proportion presented at least one tooth site with a radiographic bone level of >2 mm. Females demonstrated significantly less plaque and gingivitis but significantly higher prevalence of gingival recessions than males, while no clinically significant differences in periodontal conditions were observed in relation to socio-economic grouping of the adolescents.

The subject sample analyzed in the current study was generated by random selection of 10% of 19-year old individuals living in an urban community in Sweden. This age cohort was selected since it represented the final year of population-based prevention and dental care without cost for the young individual. Out of the randomly selected adolescents, however, only 58% were available for examination, a figure that is lower than that of epidemiological studies of Swedish adolescents or young adults published in the 1990ies; e.g. 94% by *Källestål et al.* (10) and 77% by *Hugoson et al.* (6). A large proportion of the non-respondents claimed that they did not have time to participate because of geographic relocation, university studies or military service or that they simply were not interested. Since there has been a decline in caries prevalence among children and adolescents during the last decades in Sweden, as well as in other developed countries (20), it may well be so that some of the non-respondents with a perceived good oral health felt less motivated to attend the examination. Further, it has been claimed that attitudes to and motivation for oral health care among young people have changed during the last decades (17, 18), and it is likely that such changes might affect also their willingness to participate in clinical studies. However, whatever the reason for not participating, the comparison between respondents and non-respondents showed no pertinent differences in demographic variables, except for a higher proportion of males among the non-respondents than the respondents, a difference that

may be linked to findings that young males often show less favourable oral health behaviours than females (18, 25). In addition, recent bitewing radiographs of the non-respondents retrieved from the dental clinics revealed no statistically significant difference in comparison to the respondents with regard to periodontal bone height or presence of radiographically detectable calculus.

The results of the survey demonstrated a high prevalence of plaque and gingivitis, although almost all adolescents claimed to brush their teeth once or twice a day. Interdental oral hygiene means, however, were less frequently used and only 3% of the subjects reported a daily use of dental floss. Thus, 70% of individuals presented with a plaque score of $\geq 50\%$ and 37% of the individuals showed a gingivitis score of corresponding level. If one considers that a score of $\geq 20\%$ for plaque and gingivitis would represent good oral hygiene and gingival conditions (8), only 7% of the adolescents fulfilled this criterion with respect to plaque and 9% with respect to gingivitis. Furthermore, only 9% of the adolescents presented no sites with deepened pockets (≥ 4 mm). The overall prevalence of sites with pockets ≥ 6 mm was, however, low and predominantly located at the distal aspect of the second molars, and may be associated with the eruption of the third molar (1). Still, although methodological differences between studies make a direct comparison with data from other surveys difficult, these figures indicate poorer oral hygiene and gingival conditions than reported for Swedish adolescents in earlier studies (e.g. (4, 7, 9, 10)). In the Jönköping study by *Hugoson et al.* (9) the corresponding figure among 20-year olds in 1993 was 36% for plaque whereas 30% of the individuals showed a gingivitis score of $\geq 50\%$ and these figures were markedly higher than those recorded in a comparable survey performed in 1983. These data in comparison to the observations made in the current study may point to potential differences in oral health status between adolescents in various Swedish communities, but may also be interpreted to signify a general impairment in oral hygiene and gingival conditions over time, as was indicated in earlier studies from Jönköping (9, 17). Reasons underlying the likely impairment were discussed as related to environmental and socio-economical factors influencing oral health care as well as oral health behaviour among young people (17, 18). Interestingly, *Hugoson et al.* (6) found that the knowledge of gingivitis and periodontitis had not increased among the individu-

als over a 20-year period between 1973-1993 despite extensive information being presented at schools and dental clinics. Mayer et al. (16) evaluated the long-term effect upon oral health knowledge and reported behaviour of preventive programs given to 13-16 years old Brazilian schoolchildren. The authors found significantly improved knowledge and reported behaviour at the termination of the 3-year programs, but at a follow-up evaluation 5 years later the effect of improved knowledge on oral health behaviour was not evident. Independents of knowledge and participation in preventive programs, the participants showed generally more favourable oral health behaviours, which suggest that other factors than knowledge are important for behaviour (16). Hence, the beneficial effect of population-based prevention measures on long-term changes in oral health behaviours and oral conditions among adolescents may be questioned. For successful oral health education interventions it is important to consider the views and demands of the young individuals (18, 22) and, consequently, future studies on prevention ought to be focused also on behaviour aspects among these individuals.

The current data showed that female adolescents had significantly less plaque and gingivitis but more gingival recessions than males. Furthermore, a tooth-brushing frequency of ≥ 2 times/day was significantly more common among females than males. In a longitudinal study of Swedish teenagers, Crossner et al. (4) demonstrated differences in periodontal health conditions between genders (more favourable conditions in girls), and that the differences increased with age and became clinically significant at the age of 19-year. Hugoson et al. (9) found that gender was a strong explanatory variable for gingivitis among 20-year olds, and significantly more females than males had low gingivitis scores. On the contrary, in an epidemiological study among 16- and 18-year old individuals, Källestål et al. (10) found no significant difference in periodontal status or presence of plaque and calculus between genders, but that girls brushed their teeth and used flossing significantly more often than boys. Taken together these data demonstrate more favourable oral health behaviour of young females than males, which will be manifested as better oral health conditions at an age of about 20 years.

No clinically significant differences in periodontal conditions were found with regard to socio-economical grouping. However, there was a significant difference between the SE-groups 1 and 3 with re-

gard to self reported frequency of tooth-brushing ≥ 2 times/day (SE-1 92% and SE-3 70%). Hjern et al. (5) investigated social inequality with regard to oral health and the use of dental care in a population-based Swedish sample of 25-64 years old. The authors found that the influence from socio-economical factors, i.e. low education, having no cash margin and being born outside Sweden, on self-perceived oral health and the use of dental service was most marked in older (45-65 years) adults, but significant in young adults as well. However, the results from the current study among 19-year old individuals, subjected to organized dental care by the community, failed to lend support to the opinion that socio-economical factors influence periodontal health conditions. This finding should however be interpreted with caution since the proportion of non-respondents in the group with the poorest socio-economical conditions (SE-3) was about 50% and irregular dental care habits or dental care avoidance among these individuals may be high, as indicated by others (24).

To conclude, the results from the present study demonstrated poor oral hygiene and gingival conditions among Swedish adolescents, despite the exposure to oral health promotion programs. Hence, future studies ought to be directed towards an increased understanding of the influence of various psychosocial and behavioural factors on oral health conditions in young individuals in order to enhance cost-effectiveness of prevention programs.

Acknowledgements

This study received support from The Research Foundation for Västra Götaland, and from Public Dental Service, Göteborg, Sweden.

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A 20-year study of dentists' and dental hygienists' assessment of dental caries lesions in bite-wing radiographs

PIA GABRE¹, EVA BIRRING², AND LARS GAHNBERG¹

Abstract

© Epidemiological data reveal that the prevalence of dental caries in western countries has decreased in recent decades. The aim of this study was to investigate how dentists and dental hygienists assess dental caries lesions in bite-wing radiographs between 1983 and 2003.

All dentists and dental hygienists in Public Dental Health in Uppsala County were offered to take part in the study. The participants assessed manifest and initial caries lesions in eight bite-wing radiographs from three patients individually. An X-ray viewer and binoculars were used. The assessments were repeated in the same radiographs every five years, a total of five times, between 1983 and 2003. In the different test occasions 80–103 dentists and 11–48 dental hygienists participated.

The registration of dental caries changed between 1983 and 2003. The number of manifest lesions registered by dentists decreased between 1983 and 1988, but were stable after 1988. Dental hygienists showed no changes in the registration of manifest lesions during the study. Initial lesions registered by dentists and dental hygienists increased between 1988 and 1998. Assessments of initial caries lesions displayed a wider range than manifest lesions. Increasing age and more years in the profession resulted in fewer registered initial caries lesions. Dental hygienists had a tendency to register less caries than dentists.

In conclusion, the result of the study indicate that inclusion of initial caries lesions in epidemiological reports should lead to a reduction in reliability. The changes in assessments of manifest caries lesions that took place in the 1980s should be considered when epidemiological data are evaluated.

Key words

Dental caries, initial lesions, manifest lesions, bite-wing radiographs, repeated cross-sectional study

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Tandläkares och tandhygienisters bedömning av karies på bite-wing röntgenbilder mellan 1983 och 2003

PIA GABRE, EVA BIRRING OCH LARS GAHNBERG

Sammanfattning

© Epidemiologiska data visar att förekomsten av karies hos befolkning i västvärlden har minskat under de senaste decennierna. Målet med denna studie var att undersöka hur tandläkare och tandhygienister diagnostiserade karies under 20-årsperioden 1983-2003.

Alla tandläkare och tandhygienister anställda i Folktandvården, Uppsala län, erbjöds att delta i en upprepad tvärsnittsstudie. Röntgenbilder av typen bite-wing från tre patienter bedömdes med avseende på manifest och initial karies. Bedömningen gjordes av varje tandläkare och tandhygienist individuellt och anonymt. Som hjälpmedel användes ett ljusskåp och kikare. Samma röntgenbilder granskades var femte år mellan 1983 och 2003, sammanlagt fem gånger. Vid de olika bedömningstillfällena deltog 80-103 tandläkare och 11-48 tandhygienister.

Bedömningen av karies förändrades mellan 1983 och 2003. Tandläkarnas registrering av antalet manifesta läsioner minskade mellan 1983 och 1988, men har varit stabil efter 1988. Tandhygienisterna förändrade inte sin bedömning av manifest karies under den studerade perioden. Mellan åren 1988 och 1998 ökade både tandläkarnas och tandhygienisternas registrering av initial karies och bedömningen av initial karies uppvisade en större spridning än manifest karies. Ökad ålder samt fler år i yrket resulterade i färre registreringar av initial karies. Tandhygienister hade en tendens att registrera färre kari-
esläsioner än tandläkarna.

Slutsatsen av studien är att den förändrade bedömningen av manifest karies som ägde rum under 1980-talet bör tas med i beräkningen då epidemiologiska data skall värderas. Om även initialkaries inkluderas i epidemiologiska data minskar rapporternas reliabilitet.

Introduction

Data from the WHO show that the prevalence of dental caries in western countries has decreased (18). National epidemiological data show the same trend in the Swedish population. The percentage of 12-year-old children with no experience of caries increased from 22% in 1985 to 57% in 2002. During the same period, the percentage of 19-year-old adolescents with no experience of approximal caries lesions increased from 36 to 59 % (17). The national epidemiological data in Sweden are based on clinical examinations and, when approximal surfaces cannot be inspected, bite-wing radiographs are recommended. Compared with solely clinical information, bite-wing radiographs increase the findings of approximal caries lesions many times over (15). In addition, the presence of bite-wing radiographs plays a major role in caries risk assessment (10) and in the dentists' restorative decisions for approximal tooth surfaces (8).

Caries diagnoses in radiographs show variations between examiners. Even when observers evaluate the same radiographs, the assessment varies considerably (7,11,16). Swedish dental hygienists have been shown to have the same accuracy in diagnosing dental caries lesions as dentists. The inter-examination variation is wide in both groups (14).

A large majority of Swedish dentists state that they would not automatically restore approximal caries lesions, unless the radiographic appearance shows obvious progression in the outer 1/3 to 1/2 of the dentine (9). In recent decades, new methods of caries management have been adopted, in which the biological rather than the technical approach is a priority (2). In Norway, a shift in operative treatment criteria among dentists has taken place during the last decades (5,19). It is not known whether this

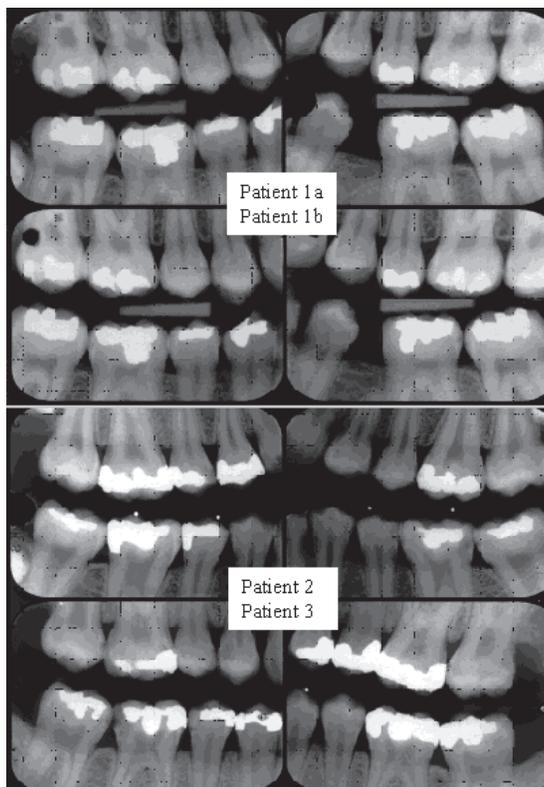
change in caries management has influenced dentists' and dental hygienists' way of diagnosing caries. This knowledge would be useful in the evaluation of oral health in the population.

The aim of the present study was to investigate how dentists and dental hygienists assessed dental caries lesions in bite-wing radiographs between 1983 and 2003.

Material and Methods

In the Public Dental Health in Uppsala County, Sweden, all dentists and dental hygienists were offered to participate in a test in which dental caries lesions were diagnosed on radiographs. The test was repeated every five year between 1983 and 2003. A repeated cross-sectional study design was used. Dental hygienists were included in the study from 1988. Dentists therefore participated in the study five times and dental hygienists four times. The dentists and dental hygienists were informed verbally and through writ-

© **Figure 2.** Patient 1: assessment of progression of caries. Radiographs 1 b were taken one year after radiographs 1 a. Patients 2 and 3: registration of initial and manifest caries lesions.



© **Figure 1.** Instructions given to dentists and dental hygienists before assessment of radiographs.

Instructions

Patient 1 (radiographs 1a and 1b):

In the protocol there are 7 beforehand noted caries lesions. Mark the lesions that, in your opinion, have progressed.

Patient 2 and 3 (radiographs 2 and 3):

Register initial and manifest caries lesions.

Initial caries= lesion only in enamel

Manifest caries=lesion involving both enamel and dentin.

Only primary and approximal lesions should be registered.

© **Table 1.** The number of participating dentists and dental hygienists, gender, age, years in the profession, participants in proportion to the total number of employees and participating in earlier tests (PET). Dental hygienists did not participate in 1983.

	1983	1988	1993	1998	2003
Dentists, no of participants	93	89	98	80	103
Participation rate	94 %	82 %	87 %	76 %	86 %
Age: All, mean (range)	- ¹	- ¹	41.5 (26-65)	43.4 (25-61)	45.5 (25-64)
Men	- ¹	- ¹	43.0 (29-65)	45.3 (28-61)	48.4 (25-62)
Women	- ¹	- ¹	40.9 (26-60)	41.9 (26-60)	42.8 (24-64)
Years in profession:					
All, mean (range)	- ¹	- ¹	13.8 (1-39)	16.1 (1-37)	18.7 (1-40)
Men	- ¹	- ¹	13.0 (1-39)	16.9 (2-37)	19.3 (1-38)
Women	- ¹	- ¹	14.6 (1-36)	15.6 (1-33)	16.6 (1-40)
Gender, % women	- ¹	- ¹	52 %	61 %	68 %
PET	-	54 %	63 %	62 %	51 %
Dental hygienists, no of participants		11	17	20	48
Participation rate		52 %	65 %	56 %	86 %
Age: All, mean (range)		- ¹	43.1 (28-60)	41.7 (22-63)	45.0 (27-61)
Men		- ¹	28	30	38
Women		- ¹	44.1 (28-60)	42.3 (22-63)	45.2 (27-61)
Years in profession:					
All, mean (range)		- ¹	7.5 (1-16)	10.7 (1-30)	10.1 (1-26)
Men		- ¹	5	3	15
Women		- ¹	7.6 (1-16)	11.1 (1-30)	9.9 (1-26)
Gender, % women		- ¹	94 %	95 %	98 %
PET		-	47 %	83 %	58 %

¹ Age, years in profession and gender were not registered in 1983 and 1988

ten instructions before the assessments were made (Fig. 1). Using an X-ray viewer and binoculars (x2 magnification), the participants individually observed a set of radiographs and noted the result in a report. The reports were filled in anonymously.

Two bite-wing radiographs from each of two patients (no 2 and 3) were observed on all five occasions. From 1988, the progression of dental caries from four bite-wing radiographs taken on two occasions in a third patient (no 1) was estimated (Fig. 2). Thus the same radiographs from three patients were observed throughout the study. The criteria for the chosen radiographs were as follows: 1) the air space should be really black

- 2) a clear difference in density (grey scale) between enamel and dentine
- 3) no overlapping of adjoining crown surfaces
- 4) in the progression case: no observable difference in the density of the radiographs from the two occasions

The purpose of the criteria was to find optimal radiographs for the diagnostic procedure of dental caries, i.e. a well-exposed radiograph, sufficient contrast in the image and the ability to detect caries

in the contact area (6). The selection of radiographs was made by a dentist specialized in dentomaxillo-facial radiology. The film Ultraspeed from Kodak (Eastman Kodak Company, Rochester, New York, USA) was used and the radiographs were exposed on a copyfilm (Agfa Curix Duplicating 100 NIF, Agfa-Gevaert NV, Mortsel, Belgium), multiplied in a copying machine (Agfa Curix Dupli, Agfa-Gevaert NV, Mortsel, Belgium) and mounted in templates.

All the dentists and dental hygienists present at work on the day the test was performed participated in the study. On the different test occasions, 76-94% of the employed dentists and 50-86% of the dental hygienists took part in the investigation. Reasons for not participating were illness, part-time work and leave of absence. The mean age, years in the profession and gender are presented in Table 1.

Statistical analysis

Differences between means in two groups were analysed by the unpaired t-test when the sample size was large and the distribution was symmetric. Due to small sample sizes, the Mann-Whitney U-test was

© **Table 2.** Dental caries lesions registered by dentists and dental hygienists in 1983, 1988, 1993, 1998 and 2003.

	Patient No 1	Patient No 2		Patient No 3	
	Progression	Initial	Manifest	Initial	Manifest
Dentists					
1983: Mean (\pm SD)	–	8.4 (\pm 2.4)	7.1 (\pm 2.2)	5.3 (\pm 2.1)	5.4 (\pm 1.8)
Range	–	3-15	2-12	0-11	2-10
1988: Mean (\pm SD)	3.0 (\pm 1.7)	8.5 (\pm 2.0)	5.3 ³ (\pm 1.9)	5.7 (\pm 1.7)	4.6 ⁵ (\pm 1.6)
Range	0-7	2-14	2-11	1-10	2-10
1993: Mean (\pm SD)	3.5 ¹ (\pm 1.4)	10.1 ² (\pm 2.4)	5.6 (\pm 1.3)	6.4 ⁴ (\pm 1.9)	4.9 (\pm 1.6)
Range	0-7	0-14	3-10	3-10	2-9
1998: Mean (\pm SD)	3.6 (\pm 1.5)	9.8 (\pm 2.2)	5.5 (\pm 1.7)	6.4 (\pm 1.9)	4.8 (\pm 1.8)
Range	1-7	4-14	0-9	2-11	1-10
2003: Mean (\pm SD)	3.1 (\pm 1.5)	9.5 (\pm 2.2)	5.2 (\pm 1.3)	6.4 (\pm 2.0)	4.6 (\pm 1.7)
Range	0-6	5-14	2-10	1-12	2-9
Dental hygienists					
1988: Mean (\pm SD)	3.0 (\pm 1.5)	7.1 (\pm 1.9)	5.3 (\pm 1.7)	4.9 (\pm 1.4)	4.9 (\pm 0.9)
Range	1-5	4-10	3-9	3-7	4-7
1993: Mean (\pm SD)	3.2 (\pm 1.4)	7.9 (\pm 2.5)	3.9 (\pm 2.4)	5.2 (\pm 2.3)	4.0 (\pm 1.8)
Range	1-6	5-13	0-9	0-8	2-8
1998: Mean (\pm SD)	3.2 (\pm 1.2)	9.0 ⁶ (\pm 3.1)	5.4 (\pm 1.2)	6.8 ⁷ (\pm 2.1)	4.2 (\pm 1.6)
Range	1-6	0-15	4-9	0-10	1-7
2003: Mean (\pm SD)	3.4 (\pm 1.4)	9.3 (\pm 2.8)	4.8 (\pm 1.3)	6.8 (\pm 2.1)	4.4 (\pm 1.8)
Range	1-6	0-13	2-9	2-11	1-8

¹ Un-paired t-test: 1993 vs. 1988 $p < 0.05$

² Un-paired t-test: 1993 vs. 1988 $p < 0.0001$

³ Un-paired t-test: 1988 vs. 1983 $p < 0.0001$

⁴ Un-paired t-test: 1993 vs. 1988 $p < 0.01$

⁵ Un-paired t-test: 1988 vs. 1983 $p < 0.01$

⁶ Mann Whitney U-test: 1998 vs. 1993 $p < 0.05$

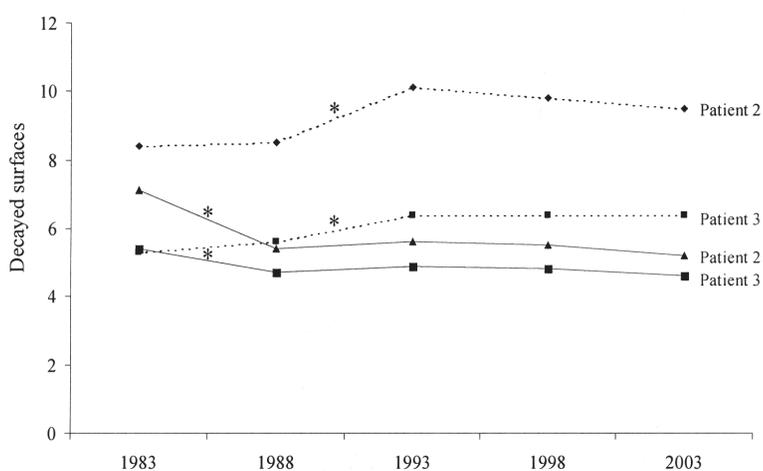
⁷ Mann Whitney U-test: 1998 vs. 1993 $p < 0.05$

used among dental hygienists in 1988-1998. The relationship between gender, age and years in the profession and caries assessments was studied using a multiple linear regression test. A 5 % level of significance was used.

Results

Between 1993 and 2003, the mean age of the dentists increased by 4 years and the experience of profession by 5 years. The mean age of the dental hygienists showed small changes, but years in profession increased by 3 years during the studied period. The percentage of women among the dentists increased from 52 to 68%. Women had a lower age in all of the investigated occasions and by every registration the difference in mean age between men and women increased. With the exception of one man all participating dental hygienists were women (Table 1).

The dentists' assessment of caries lesions changed during the period. Fewer surfaces with manifest caries lesions were registered in 1988 and later compared with the registration in 1983. A smaller number of initial lesions were registered in 1983 and

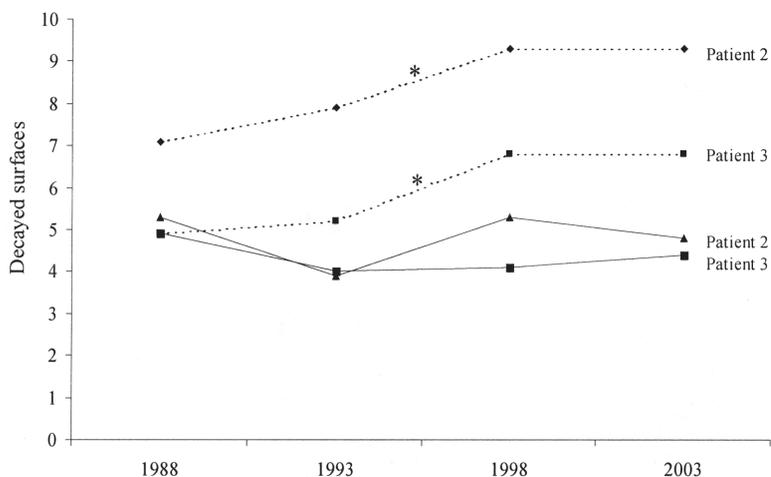


© **Figure 3.** Mean values for decayed surfaces assessed by dentists in patients 2 and 3 between 1983 and 2003. * Significant differences in the assessment of manifest lesions between 1983 and 1988 in both patients. Initial caries showed significant differences between 1988 and 1993 in both patients.

— Manifest Initial

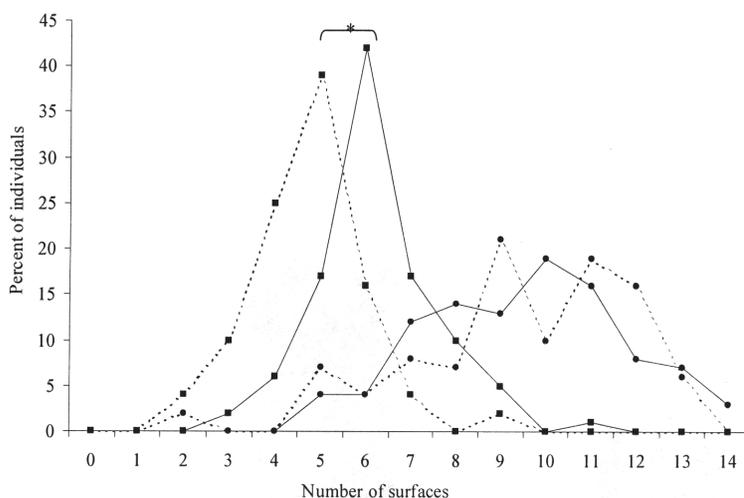
© **Figure 4.** Mean values for decayed surfaces assessed by dental hygienists in patients 2 and 3 between 1988 and 2003. * Significant differences in the assessment of initial caries between 1993 and 1998 in both patients.

— Manifest
- - - Initial



© **Figure 5.** Distribution of registered surfaces of dental caries in patient 2 in 2003. * Statistically significant differences dentists vs. dental hygienists $p=0.0476$ (unpaired t-test).

■ — ■ Dentists, manifest caries
■ - - - ■ Dental hygienists, manifest caries
● — ● Dentists, initial caries
● - - - ● Dental hygienists, initial caries



1988 compared with 1993 and the later registrations (Table 2, Fig. 3). In patient 1, where the progression of caries was to be assessed, dentists registered more surfaces in 1993 and 1998 compared with 1988 ($p < 0.05$, Table 2). The assessment of manifest caries lesions among the dental hygienists did not change between 1988 and 2003. The registration of initial caries lesions did, however, increase between 1993 and 1998 (Table 2, Fig 4).

On three occasions, dentists found more manifest caries lesions compared with dental hygienists (patient 2 in 1993 and 2003, $p < 0.01$ and $p < 0.05$; patient 3 1993, $p < 0.05$). Moreover, in the assessment of initial caries lesions, dentists had a higher registration on three occasions (patient 2 in 1988 and 1993, $p < 0.05$; patient 3 in 1993, $p < 0.05$; Table 2, Fig. 5).

The range of registered decayed surfaces was lar-

ge in both professions (Table 2, Fig 5). However, a clear majority of dentists and dental hygienists were within ± 1 lesion compared to the mean value in their assessment, as illustrated in Fig. 5. Assessments of initial caries lesions displayed a wider range than manifest lesions. No differences could be found between dentists and dental hygienists.

There was a relationship between the dentists' age and the number of registered initial caries lesions for both assessed patients in 1993, 1998 and 2003 (p -values < 0.05 - < 0.0001 , $r^2 = 0.042$ - 0.193). The older the dentist, the fewer decayed surfaces were found. A similar relationship was observed between years in profession and initial caries lesions. A multiple linear regression test showed that age and years in profession were dependent variables. The number of registered manifest caries lesions showed no rela-

tionship with age or years in the profession. Among dental hygienists, age and years in the profession did not influence the assessment of initial and manifest caries lesions.

Differences between genders could only be observed among dentists in 2003. In 2003 women found more initial caries lesions in both patients ($p < 0.05$ and $p < 0.01$). The statistical analysis showed that the difference due to gender could not be explained by the differences in mean age between men and women. Previous participation in the test showed no correlation with the result.

Discussion

This study shows that the assessment of dental caries lesions changed during the period 1983 to 2003. The changes relating to manifest caries took place in 1983-1988. No changes in the assessment of initial caries could be noted after 1993. Epidemiological data from Uppsala County, as well as the whole of Sweden and other parts of the industrialized world, report a decreasing prevalence of dental caries in the 3-19 age group during the period studied. The result of this study indicates that, during the 1980s, the improvement in dental health could be partly explained by a change in the evaluation of caries lesions among dentists. From 1988 and onwards, an influence of this kind is less likely.

When dentists and dental hygienists were compared, some differences in caries assessment could be seen. There was a tendency for dental hygienists to register fewer caries lesions than dentists, especially when assessing initial caries. However, in 1988, 1993 and 1998, the number of participating dental hygienists was small. In recent years in Sweden, dental hygienists are involved to a greater extent in the examination and confirmation of diagnoses in children's dental care. The increasing experience in this area may be one explanation of the fact that dental hygienists registered more initial caries lesions the longer the study continued.

The wide range of registered caries lesions may be a problem in clinical work and epidemiological research. Efforts have been made to obtain greater agreement between observers in the process of caries diagnosis. In an extended calibration program including six days of training, the observers were able to achieve a high level of calibration (13). When dental students used a computer-assisted learning calibration program for caries diagnosis on bite-wing radiographs, the performance improved (12). However, several studies have reported that the benefits of

examiner training are limited (3, 16). Caries diagnosis includes the ability to detect the presence of caries (sensitivity) but also the ability to report the absence of caries (specificity). Dental students had an ability to detect caries that was similar to that of general dental practitioners, but the students reported more false positive lesions (11). The present study only investigated diagnoses of dental caries lesions. It is not known whether an evaluation of the chosen therapy would have revealed the same wide range. Despite the wide range, the majority of dentists and dental hygienists displayed an ability to assess the number of caries lesions with a difference of ± 1 lesion compared with the mean value. From the angle of caries examination, both professions may therefore be regarded as having the equivalent skills.

A majority of the subjects had participated in more than one assessment of radiographs. Eighteen of the dentists had participated in all five assessments. It is possible that a learning effect could have influenced the results. However, no differences between subjects who had participated earlier and those who had not could be seen. The anonymity makes longitudinal analysis impossible and also obstructs paired statistical tests. It can not be excluded that additional differences could have been detected with tests for dependent samples. However, in this study tests for independent samples is a conservative way to treat data with small risks to report false significance.

A factor influencing assessment of caries lesions in radiographs is the radiographic quality. The radiographs in this study were selected by using clear including criteria, but an objective, quantitative control was not possible. The copying of the x-rays was highly standardized. Minor variations in radiographs, however, cannot be completely excluded. Since the same set of radiographic copies were used throughout the study, the possible influence of such a variation on our data was kept constant.

Increasing age and more years in the profession resulted in fewer registered initial caries lesions. Women showed a lower mean age compared to men in all studied test occasions. Even when the lower age where taken into consideration, women registered more initial caries lesions than men. The reason for this is not known and requires further investigation. The assessment of initial caries lesions displayed a wider range than that of manifest lesions. Registrations of manifest caries lesions were therefore generally more reliable compared with initial caries, a result in line with the findings of Espelid and Tveit

(4). Epidemiological data relating to dental caries in Sweden are based on manifest caries and the variation in assessments of initial caries lesions does not therefore influence the epidemiological data. Our data indicate that the inclusion of initial caries lesions should lead to a reduction in reliability in epidemiological reports. However, to evaluate the caries situation of the individual, it is important to include all the stages of clinical caries (1).

In conclusion, the dentists' assessment of dental caries changed between 1983 and 1993, but during the past decade the assessments were stable. Dental hygienists had a tendency to register less caries than dentists. Both groups showed a wide, yet equivalent range of registered dental caries. The result of this study should be considered when epidemiological reports of dental caries are evaluated.

Acknowledgements

The authors gratefully acknowledge the County Council of Uppsala, Sweden, for financial support. We also thank Drs. Eva Asp, Dan Björnstål, Inger Ganea, Gunilla Högfors, Kjell Kullander and Gunnar Sörlin for help with the planning and practical work in this study and Lars Berglund for support with statistical analysis.

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